

Brandon Lisi
Inmate Reg. No. 62739-054
Federal Correctional Institution
Fort Dix
P.O. Box 2000
Joint Base MDL, NJ 08640

May 17, 2019

Honorable Judge Katherine Polk Failla
United States District Court Judge
United States District Court
Southern District of New York
Daniel Patrick Moynihan U.S. Courthouse
40 Foley Square
New York, NY 10007

RE: United States v. Lisi
Criminal Case #: 1:15-cr-00457 (KPF)

Dear Judge Failla:

As the Court is aware, I am the Defendant in the above-referenced criminal proceeding. I am incarcerated at the Federal Correctional Institution at Fort Dix in New Jersey. The referenced proceeding is now on appeal before the Second Circuit. I am appearing pro se, in forma pauperis with respect to the appeal, and I am also now appearing pro se with regard to the issue(s) I am raising as described in this letter.

I am writing to apprise Your Honor of certain issues arising subsequent to my sentencing in this case. I am requesting, most respectfully, the assistance of this Court with respect to resolving these issues. The first issue relates to my inability to obtain documents from the above-referenced case. I need to obtain these documents to compile, prepare, and file my pro se supplemental appendix (now technically a pro se appendix with the Second Circuit). As a result of my incarceration, I do not have access to ECF/PACER and can not print from any discs, flash drives, and/or other devices. I have written to the Second Circuit without any success at obtaining copies of the documents I need for my appendix. I have also explained my predicament to my former Court-appointed appellate lawyers.

To date, my requests for assistance have fallen upon deaf ears. To exhaust every possible potential avenue for assistance, I even attempted to contact AUSA Noah Solowiejczyk. I have been unable to reach him, and the prison phone system prevents me from leaving a message on his voicemail. Only after a thorough and diligent effort to obtain the needed documents has not achieved success, have I turned to this Court for assistance and written this letter to Your Honor.

Accordingly, I respectfully request Your Honor to direct the appropriate individual on the Court Staff to provide copies of the following documents to me via U.S. Mail posted to my address at FCI- Fort Dix set forth at the top of this page: (I.) the full Criminal Docket Report (Criminal Docket Report print-out sheet); (II.) after I review the docket sheet I can inform and identify the appropriate Court Staff individual the copies of specific documents I

require. The assistance of the Court with respect to this matter will resolve the tenuous position I face in connection with assembling and filing my pro se appendix on appeal. The Second Circuit has set May 25, 2019 as a deadline for me to complete this task.

Although the timing is not as trip-wire as my deadline for the first issue, the second issue is that I am also seeking assistance with regard to obtaining access to documents previously available to me when I was housed for several years on the West Compound at Fort Dix. As Your Honor may recall, you previously assisted me when you had my Rule 16 materials forwarded to me at the Fort Dix facility. When I was transferred from the West Compound to the East Compound I was separated from this material and I have not been able to access approximately fifteen (15) boxes of documents now stored on the West Compound.

My former lawyer Lisa Scolari, Esq. previously informed me that an arrangement had been reached with the Fort Dix Legal Department to forward the Rule 16 materials to my next location (in the event that I was moved) or to my new counsel or to my home and/or place of my designation. I have been moved to a new location and even though the location is at Fort Dix, I have no access to the Rule 16 materials because inmates cannot travel freely between the East and West Compounds. I request most respectfully guidance from the Court on how to resolve this matter. I believe a direction from the Court to my former attorney to assist and follow through with respect to what she said she would do would not be inappropriate, especially when her representation to me concerning the documents was made while she was acting as my Court-appointed counsel.

The third issue (also relating to documents) concerns Docket Entry number "193" and other like it, appearing in my case. Apparently, this docket entry was filed with the Court on February 20, 2019. The entry is described as a "Sealed Document placed in vault (rz)." If this entry pertains to me then I believe I should be provided with a copy of it or the reason(s) why the document is sealed. At this point, I am in the dark and I have no way of knowing whether the document might affect any of my arguments on appeal. I have also observed similar entries entitled "Sealed Document placed in vault (rz)." With respect to these documents I request copies or a statement of why the documents are sealed. I am willing, if necessary, to agree to hold the documents and their contents in confidence and not divulge the existence or content of the sealed document(s) without the prior written approval of this Court.

My fourth issue relates to the status of the funds in the Gordon & Haffner Escrow Account. My understanding is that those funds were seized by the Government over the objection of Gordon & Haffner and this Court dismissed the Gordon & Haffner claim to the funds. I have not received any indication of whether the funds have been distributed and, if distributed, whether the funds were received by the entitled recipients in "an approximately proportional payment" as Your Honor previously entered. As I recall, I was told that the Escrow Account had a balance in excess of \$450,000.00

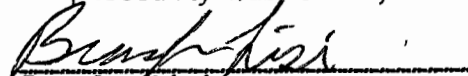
Distinct from any concern that the appropriate individuals received their appropriate amount(s) of the escrowed funds, I have another reason for my inquiry. I recently received correspondence from New York State, and this

correspondence could relate to a claim by an individual entitled to a portion of the escrowed funds. The correspondence I received from New York State indicated this individual may not have received any distribution from the Gordon & Haffner Escrow Account. To enable me to respond to New York State when I am able to do so, I respectfully need to know what happened (if anything) to the funds the Government seized from the Gordon & Haffner Escrow Account, in order that my response is accurate.

My fifth issue that I am bringing to the attention of the Court relates to a "Curcio Hearing" regarding Ms. Arvanitakis, her attorney, and another attorney represented by counsel for Ms. Arvanitakis. The Government submission in connection with the hearing mentions me with respect to what seems to be described as ongoing matters. I request future notice of hearings and copies of all documents filed in this manner to enable me to protect any interest I might have at stake. I believe this is a reasonable request in view of the promise the Government made on the record in cases before Judge Buchwald and Judge Swain and the plea agreements entered in those cases, as well as in yours.

Finally, I am aware that my mother has written you with the assistance of a person that she has described to me as being a "social worker". I hope Your Honor views her letter in light of the deteriorating conditions that she continues to endure, and her concerns for me. In closing, I regret having to burden this Court with my requests for assistance in obtaining documents I simply have no other place to seek what I consider to be equitable and necessary relief. My other requests are self-explanatory, and as such, I appreciate the Court's time and consideration.

Respectfully Submitted,


Brandon Lisi

Application GRANTED in part. The Court has reviewed Defendant Brandon Lisi's request and has endeavored to gain further insight into how it can assist with a number of Mr. Lisi's requests. With regards to his first request, the Court will provide a copy of the docket and has attached a copy to this Order.

With regards to Mr. Lisi's boxes of legal material, the Court has spoken with the legal staff at FCI Fort Dix and has been informed that Mr. Lisi is due to be transferred in the near future. At that time, he will be permitted to bring at least two boxes of legal materials with him to his new facility. The staff has informed the Court that they cannot guarantee more than two boxes, as other individuals may require transportation of their own materials. The Court has been further informed that Defendant will be required to pay for postage for his remaining materials. The Court understands Defendant is *pro se* and may face difficulties in paying. After consulting with the CJA staff of this District, the Court understands that CJA funds cannot be advanced to a *pro se* Defendant. The Court therefore advises Mr. Lisi to request the appointment of stand-by counsel from the Second Circuit, as stand-by counsel could assist Defendant with such ministerial matters as requesting funds without providing legal advice.

The Court has reviewed the orders submitted under seal in this case and does not find the documents submitted pertain to Mr. Lisi's appeal. The Court further informs Mr. Lisi that the Gordon & Haffner funds remain the subject of an ongoing appeal. The Court notes Mr. Lisi's interest in Ms. Arvanitakis's *Curcio* hearing and his request to remain informed of future proceedings in this case. Ms. Arvanitakis' *Curcio* hearing concluded on November 1, 2017. The Court does not have any further proceedings in this case scheduled at this time.

Dated: June 10, 2019
New York, New York

SO ORDERED.



HON. KATHERINE POLK FAILLA
UNITED STATES DISTRICT JUDGE

A copy of this Order was mailed by Chambers to:

Brandon Lisi
Inmate Reg. No. 62739-054
Federal Correctional Institution
Fort Dix
P.O. Box 2000
.Joint Base MDL, NJ 08640

CLOSED,APPEAL,ECF,WHEEL-B

U.S. District Court
Southern District of New York (Foley Square)
CRIMINAL DOCKET FOR CASE #: 1:15-cr-00457-KPF All Defendants

Case title: USA v. Lisi et al

Date Filed: 07/16/2015
Date Terminated: 03/09/2018

Assigned to: Judge Katherine Polk
Failla

Defendant (1)

Brandon Lisi
TERMINATED: 09/21/2017

represented by **Joseph Aaron Grob**
Joseph A. Grob, P.C.
345 Seventh Avenue, 21st. Floor
New York, NY 10001
(516) 993-7336
Fax: (866) 651-3196
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LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: CJA Appointment

Lisa Scolari
Law Office of Lisa Scolari
20 Vesey Street Suite 400
New York, NY 10007
212-227-8899
Fax: 212-964-2926
Email: LScolariLaw@earthlink.net
TERMINATED: 06/05/2017
LEAD ATTORNEY
Designation: CJA Appointment

Pending Counts

18:1349.F ATTEMPT AND
CONSPIRACY TO COMMIT
MAIL FRAUD
(1)

Disposition

IMPRISONMENT: Thirty-eight (38) months' imprisonment to run consecutive to the sentences imposed in Case Nos. 09 Cr.1188 (LTS), and 09 Cr. 948(NRB). SUPERVISED RELEASE: Three (3) years to run concurrently with the terms of supervised release imposed in Case Nos. 09 Cr. 948(NRB), and 09 Cr. 1188(LTS). RESTITUTION: \$1,438,358.00.

Highest Offense Level (Opening)

Felony

Terminated Counts

18:371.F BANKRUPTCY
CONSPIRACY
(2)
18:1956-4999.F MONEY
LAUNDERING CONSPIRACY
(3)

Disposition

Dismissed.

Dismissed.

Highest Offense Level
(Terminated)

Felony

Complaints

None

Disposition

Assigned to: Judge Katherine Polk
Failla

Defendant (2)

Katerina Arvanitakis
TERMINATED: 03/09/2018

represented by **Kurt P. Kerns**
Ariagno, Kerns, Mank & White L.L.C.
328 N. Main Street
Wichita, KS 67202
(316) 265-5511
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LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED
Designation: Retained

Lisa Scolari
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Patrick A. Mullin
Law Office Patrick A. Mullin
400 Kelby St. Ste. 1400
Fort Lee, NJ 07024
201-242-9600
Fax: 201-242-9610
Email: mullin.law@verizon.net
LEAD ATTORNEY
PRO HAC VICE
ATTORNEY TO BE NOTICED
Designation: Retained

James Kousouros
Law Off. James Kousouros (NY)
260 Madison Avenue, 22 Fl
New York, NY 10016
(212) 532-1934
Fax: (212)-532-1939
Email: james@kousouroslaw.com
ATTORNEY TO BE NOTICED

Pending Counts

18:1343.F FRAUD BY WIRE,
RADIO, OR TELEVISION
(2s)

Disposition

Imprisonment for a total term of Twenty-Four (24)
Months. Supervised release for a term of Three
Years.

Highest Offense Level (Opening)

Felony

Terminated Counts

18:1349.F ATTEMPT AND
CONSPIRACY TO COMMIT
MAIL FRAUD

Disposition

All open counts are dismissed on the motion of the
US

(1)

18:1349.F ATTEMPT AND
CONSPIRACY TO COMMIT
WIRE FRAUD

All open counts are dismissed on the motion of the
US

(1s)

18:371.F BANKRUPTCY
CONSPIRACY

All open counts are dismissed on the motion of the
US

(2)

18:1956–4999.F MONEY
LAUNDERING CONSPIRACY

All open counts are dismissed on the motion of the
US

(3)

18:371.F CONSPIRACY TO
DEFRAUD THE UNITED
STATES

All open counts are dismissed on the motion of the
US

(3s)

18:1028A.F FRAUD WITH
IDENTIFICATION
DOCUMENTS (AGGRAVATED
IDENTITY THEFT)

All open counts are dismissed on the motion of the
US

(4s)

18:1956–4999.F MONEY
LAUNDERING – FRAUD,
OTHER (CONSPIRACY)

All open counts are dismissed on the motion of the
US

(5s)

Highest Offense Level
(Terminated)

Felony

Complaints

None

Disposition

Assigned to: Judge Katherine Polk
Failla

Defendant (3)

Paul Katsaros

TERMINATED: 09/27/2017

also known as

Polizios Katsaros

TERMINATED: 09/27/2017

represented by **Anastasi Pardalis**

Mavromihalis, Pardalis & Nohavicka, LLP

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Astoria, NY 11106

(718)–777–0400

Fax: (718)–777–0599

Email: taso@mpnfirm.com

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Designation: Retained

Joseph D. Nohavicka

Mavromihalis Pardalis & Nohavicka, LLP

3403 Broadway

Astoria, NY 10006

(718)–777–0400

Fax: (718)–777–0599

Email: jnfirm@aol.com

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Designation: Retained

Pending Counts

18:152.F CONCEAL ASSETS,
FALSE OATH AND CLAIMS
BRIBERY
(1)

Disposition

IMPRISONMENT: Three (3) months.
SUPERVISED RELEASE: Two (2) years.

Highest Offense Level (Opening)

Felony

Terminated Counts

None

Disposition

**Highest Offense Level
(Terminated)**

None

Complaints

None

Disposition

Interested Party

Paul Bibbo

represented by **Michael Manoussos**
Michael Manoussos & Co PLLC
80-02 Kew Gardens Road, Suite 901
Kew Gardens, NY 11415
718-454-8888
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LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: Retained

Interested Party

Nadine Bibbo

represented by **Michael Manoussos**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED
Designation: Retained

Interested Party

Gordon & Haffner, LLP

represented by **David Edward Gordon**
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Designation: Retained

Steven R. Haffner
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LEAD ATTORNEY
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Designation: Retained

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 United States Attorney's Office, SDNY
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 (212) 637–2193
 Fax: (212) 637–2527
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LEAD ATTORNEY
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Designation: Assistant US Attorney

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 212–637–6521
 Fax: 212–637–2527
 Email: katherine.reilly2@usdoj.gov
ATTORNEY TO BE NOTICED
Designation: Assistant US Attorney

Noah David Solowiejczyk
 United States Attorney's Office, SDNY
 One Saint Andrew's Plaza
 New York, NY 10007
 (212) 637–2473
 Fax: (212) 637–2527
 Email: noah.solowiejczyk@usdoj.gov
ATTORNEY TO BE NOTICED
Designation: Assistant US Attorney

Date Filed	#	Docket Text
07/20/2015	<u>1</u>	SEALED INDICTMENT as to Brandon Lisi (1) count(s) 1, 2, 3, Katerina Arvanitakis (2) count(s) 1, 2, 3. (jbo) (Entered: 07/20/2015)
07/20/2015	<u>2</u>	Order to Unseal Indictment as to Brandon Lisi, Katerina Arvanitakis. (Signed by Magistrate Judge Kevin Nathaniel Fox on 7/20/15)(jbo) (Entered: 07/20/2015)
07/20/2015		Case Designated ECF as to Brandon Lisi, Katerina Arvanitakis. (jbo) (Entered: 07/20/2015)
07/20/2015		Case as to Brandon Lisi, Katerina Arvanitakis ASSIGNED to Judge Katherine Polk Failla. Judge Unassigned no longer assigned to the case. (jbo) (Entered: 07/20/2015)
07/20/2015		Minute Entry for proceedings held before Judge Katherine Polk Failla: Initial Appearance as to Katerina Arvanitakis held on 7/20/2015. Defendant Katerina Arvanitakis present with Attorney Diane Ferrone. A.U.S.A. Michael Lockard present. Court Reporter Karen Gorkaski present. Initial presentment held. Defendant Arraigned & advised of rights. Defendant Enters a plea of not guilty as charged in Indictment 15CR.457(KPF). Defendant's application for bail is granted. Bail set at: Defendant To sign \$150,000 PRB, cosigned by 2 FRP's. Defendant to be released on own signature with co-signers to sign bond by 7/27/2015. Defendant To surrender all travel documents and make no new applications. Travel restrictions to include SDNY and

		EDNY, and to include the District of New Jersey as needed for work. Defendant Agrees not to hold client funds in escrow or IOLA accounts, and will resolve currently held client funds by 8/3/2015. Regular Pre-trial Supervision. Control date set for 8/10/2015 at 3:00pm. Time Excluded in the interest of justice from 7/20/2015 to 8/10/2015. Defendant Continued Remanded. (bw) (Entered: 07/21/2015)
07/20/2015		Minute Entry for proceedings held before Judge Katherine Polk Failla: Arraignment as to Katerina Arvanitakis (2) Count 1,2,3 held on 7/20/2015. Plea entered by Katerina Arvanitakis (2) Count 1,2,3 --- Not Guilty. (bw) (Entered: 07/21/2015)
07/20/2015		ORAL ORDER as to Katerina Arvanitakis (2). Time excluded from 7/20/2015 until 8/10/2015. Pretrial Conference set for 8/10/2015 at 03:00 PM before Judge Katherine Polk Failla. (bw) (Entered: 07/21/2015)
07/20/2015		Minute Entry on "District Judge Bail Disposition Sheet" for proceedings held before Judge Katherine Polk Failla: Bond Hearing as to Katerina Arvanitakis (2) held on 7/20/2015. For the Government, AUSA Michael Lockard. For the Defendant, Attorney Diane Ferrone. BAIL DISPOSITION: Defendant to sign \$150K PRB, cosigned by 2 FRP's. Defendant to be released on own signature, with cosigners to sign bond by 7/27/2015. Defendant to surrender all travel documents and make no new applications. Travel restrictions to include SDNY and EDNY, and to include DNJ as needed for work. Defendant agrees not to hold client funds in escrow or IOLA accounts, and will resolve currently-held client funds by 8/3/2015. Regular pretrial supervision. (Signed by Judge Katherine Polk Failla on 7/20/2015) (bw) (Entered: 07/21/2015)
07/20/2015	18	Appearance Bond Entered as to (15-Cr-457-02) Katerina Arvanitakis in amount of \$150,000.00. Defendant to sign \$150,000 Personal Recognizance Bond; to be cosigned by two financially responsible persons; Defendant to be released on own signature with cosigners to sign by 7/27/2015; Defendant to surrender all travel documents and make no new applications; travel restrictions to include SDNY and EDNY and to include DNJ as needed for work; Defendant agrees not to hold client funds in escrow or IOLA Accounts and will resolve currently held client funds by 8/3/2015; regular pretrial supervision. [Advice of Penalties and Sanctions attached. Defendant Released.] (bw) (Entered: 11/24/2015)
07/23/2015	<u>5</u>	ENDORSED LETTER as to Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Diane Ferrone, dated 7/23/2015, re: Counsel for defendant Katerina Arvanitakis writes to request a one week extension, to August 3, 2015, for Ms. Arvanitakis to have two co-signers sign her bond. AUSA Lockard has no objection to this request. ENDORSEMENT: Application GRANTED. SO ORDERED. (Signed by Judge Katherine Polk Failla on 7/23/2015) (Inl) (Entered: 07/24/2015)
07/28/2015		Arrest (On Writ) of Brandon Lisi. (jm) (Entered: 07/31/2015)
07/30/2015	6	CJA 23 Financial Affidavit by Brandon Lisi appointing cja atty Lisa Scolari. (Signed by Judge Magistrate Judge Henry B. Pitman) (jm) (Entered: 07/31/2015)
07/30/2015		Attorney update in case as to Brandon Lisi. Attorney Lisa Scolari for Brandon Lisi added. (jm) (Entered: 07/31/2015)
07/30/2015		Minute Entry for proceedings held before Magistrate Judge Henry B. Pitman:Initial Appearance as to Brandon Lisi held on 7/30/2015. Deft. present with atty Scolari; AUSA Lockard also present. Deft. is detained on consent without prejudice. (jm) (Entered: 07/31/2015)
07/30/2015		Minute Entry for proceedings held before Magistrate Judge Henry B. Pitman: Deft. present with atty Scolari; AUSA Lockard also present. Arraignment as to Brandon Lisi (1) Count 1,2,3 Brandon Lisi (1) Count 1,2,3 held on 7/30/2015., Plea entered by Brandon Lisi (1) Count 1,2,3 Brandon Lisi (1) Count 1,2,3 Not Guilty. (jm) (Entered: 07/31/2015)
07/30/2015	7	ORDER for medical attention as to Brandon Lisi.. (Signed by Magistrate Judge Henry B. Pitman on 7/30/15)(jm) (Entered: 07/31/2015)
07/30/2015	<u>10</u>	CJA 20 as to Brandon Lisi: Appointment of Attorney Lisa Scolari for Brandon Lisi. (Appointed by Magistrate Judge Henry B. Pitman on 7/30/2015)(jk) (Entered: 08/07/2015)

08/03/2015	<u>8</u>	NOTICE OF ATTORNEY APPEARANCE: Patrick A. Mullin appearing for Katerina Arvanitakis. Appearance Type: Retained. (Mullin, Patrick) (Entered: 08/03/2015)
08/06/2015	<u>9</u>	ENDORSED LETTER as to Brandon Lisi, Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Lisa Scolari re: Reschedule Conference...ENDORSEMENT: Application GRANTED. It is hereby ORDERED that the conference scheduled for August 10, 2015 is ADJOURNED to October 21, 2015, at 3:00 p.m. The Court hereby excludes the time between August 6, 2015, and October 21, 2015, under the Speedy Trial Act, finding that the ends of justice served by excluding such time outweigh the interests of the public and the defendants in a speedy trial, because it will permit defense counsel to review discovery(Pretrial Conference set for 10/21/2015 at 03:00 PM before Judge Katherine Polk Failla.) Time excluded from 8/6/2015 until 10/21/2015. (Signed by Judge Katherine Polk Failla on 8/6/15)(jw) (Entered: 08/07/2015)
08/10/2015	<u>11</u>	TRANSCRIPT of Proceedings as to Katerina Arvanitakis re: Conference held on 07/20/2015 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Karen Gorlaski, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 9/3/2015. Redacted Transcript Deadline set for 9/14/2015. Release of Transcript Restriction set for 11/12/2015. (Grant, Patricia) (Entered: 08/10/2015)
08/10/2015	<u>12</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Katerina Arvanitakis. Notice is hereby given that an official transcript of a Conference proceeding held on 07/20/2015 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (Grant, Patricia) (Entered: 08/10/2015)
08/12/2015	<u>13</u>	TRANSCRIPT of Proceedings as to Katerina Arvanitakis re: Conference held on 07/20/2015 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Karen Gorlaski, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 9/8/2015. Redacted Transcript Deadline set for 9/17/2015. Release of Transcript Restriction set for 11/13/2015. (Grant, Patricia) (Entered: 08/12/2015)
08/12/2015	<u>14</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Katerina Arvanitakis. Notice is hereby given that an official transcript of a Conference proceeding held on 07/20/2015 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (Grant, Patricia) (Entered: 08/12/2015)
10/16/2015	<u>15</u>	FIRST LETTER MOTION addressed to Judge Katherine Polk Failla from Lisa Scolari dated October 16 2015 re: Adjourn conference . Document filed by Brandon Lisi as to Brandon Lisi, Katerina Arvanitakis. (Scolari, Lisa) (Entered: 10/16/2015)
10/16/2015	<u>16</u>	ENDORSED LETTER as to Brandon Lisi, Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Attorney Lisa Scolari, Counsel for Defendant Brandon Lisi, dated October 16, 2015 re: I represent Brandon Lisi and write on behalf of Mr. Lisi with the consent of the co-defendant Katerina Arvanitakis, by Patrick Mullin, Esq., and the government, to request an adjournment of the conference currently scheduled for October 21, 2015. In light of the vast volume of discovery and the time needed to review it, the defense seeks an adjournment to a date in mid-January, 2016. Inasmuch as the adjournment would be for the review of discovery, the time between today and the next conference should be excluded from speedy trial calculations. ENDORSEMENT: Application GRANTED. The conference scheduled for October 21, 2015, is hereby adjourned to January 14, 2016, at 3:00 p.m. It is further ORDERED that time is excluded under the Speedy Trial Act between October 16, 2015, and January 14, 2016. The Court finds that the ends of justice served by excluding such time outweigh the interests of the public and the defendants in a speedy trial because it will allow counsel for the defendants to continue to review discovery and prepare any pretrial motions. SO ORDERED. (Signed by Judge Katherine Polk

		Failla on 10/16/2015)(bw) (Entered: 10/19/2015)
11/18/2015	<u>17</u>	ENDORSED LETTER as to Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Patrick A. Mullin dated 11/17/2015 re: Modification of Bail.ENDORSEMENT: Application GRANTED. SO ORDERED. (Signed by Judge Katherine Polk Failla on 11/18/2015)(ft) (Entered: 11/19/2015)
01/11/2016	<u>19</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Lisa Scolari dated January 11, 2016 re: Adjourn conference . Document filed by Brandon Lisi as to Brandon Lisi, Katerina Arvanitakis. (Scolari, Lisa) (Entered: 01/11/2016)
01/11/2016	<u>20</u>	MEMO ENDORSEMENT granting <u>19</u> LETTER MOTION as to Brandon Lisi (1), Katerina Arvanitakis (2). ENDORSEMENT: Application GRANTED. The conference scheduled for January 14, 2016, is hereby adjourned to March 11, 2016, at 3:00 p.m. It is further ORDERED that time is excluded under the Speedy Trial Act between January 14, 2016, and March 11, 2016. The Court finds that the ends of justice served by excluding such time outweigh the interests of the public and the defendants in a speedy trial because it will allow counsel for the defendants to continue to review discovery and prepare any pretrial motions. SO ORDERED. (Signed by Judge Katherine Polk Failla on 1/11/2016) (ft) (Entered: 01/11/2016)
01/11/2016		Set/Reset Deadlines/Hearings as to Brandon Lisi, Katerina Arvanitakis: Pretrial Conference set for 3/11/2016 at 03:00 PM before Judge Katherine Polk Failla. (ft) Modified on 1/11/2016 (ft). (Entered: 01/11/2016)
03/08/2016	<u>21</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Patrick A. Mullin, Esq. dated March 8, 2016 re: Adjournment . Document filed by Katerina Arvanitakis as to Brandon Lisi, Katerina Arvanitakis. (Mullin, Patrick) (Entered: 03/08/2016)
03/09/2016	<u>22</u>	ENDORSED LETTER as to Brandon Lisi, Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Attorney Patrick A. Mullin, Counsel for Defendant Katerina Arvanitakis, dated March 8, 2016 re: submitted to request an adjournment of the conference currently scheduled for March 11, 2016. ENDORSEMENT: The Court has received Defendants' request for an adjournment of 60 days in order to review discovery. Given that this conference, initially scheduled for August 10, 2015, has now been adjourned for over seven months, the application for further adjournment is hereby DENIED without prejudice to renew at the upcoming conference. The parties shall appear as scheduled on March 11, 2016, in order to give an update as to the progress in this case to date. SO ORDERED. (Signed by Judge Katherine Polk Failla on 3/9/2016)(bw) (Entered: 03/09/2016)
03/09/2016	<u>23</u>	FILING ERROR – DEFICIENT DOCKET ENTRY – FIRST MOTION for Kurt P. Kerns to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number 0208–12046780. <u>Motion and supporting papers to be reviewed by Clerk's Office staff.</u> Document filed by Katerina Arvanitakis. Return Date set for 3/11/2016 at 03:00 PM. (Attachments: # <u>1</u> Text of Proposed Order)(Mullin, Patrick) Modified on 3/9/2016 (sdi). (Entered: 03/09/2016)
03/09/2016		>>>NOTICE REGARDING DEFICIENT MOTION TO APPEAR PRO HAC VICE. Notice as to Brandon Lisi, Katerina Arvanitakis to RE–FILE Document No. 23 FIRST MOTION for Kurt P. Kerns to Appear Pro Hac Vice . Filing fee \$ 200.00, receipt number 0208–12046780. Motion and supporting papers to be reviewed by Clerk's Office staff... The filing is deficient for the following reason(s): missing Certificate of Good Standing from Supreme Court of Kansas;. Re–file the motion as a Corrected Motion to Appear Pro Hac Vice – attach the correct signed PDF – select the correct named filer/filers – attach valid Certificates of Good Standing issued within the past 30 days – attach Proposed Order.. (sdi) (Entered: 03/09/2016)
03/10/2016	<u>24</u>	FIRST LETTER MOTION addressed to Judge Katherine Polk Failla from Lisa Scolari dated March 10, 2016 re: Excuse defendant's appearance . Document filed by Brandon Lisi. (Scolari, Lisa) (Entered: 03/10/2016)
03/10/2016	<u>25</u>	MEMO ENDORSEMENT granting <u>24</u> LETTER MOTION to request that he be excused from tomorrow conference. As it is anticipated that the conference will address scheduling, Mr. Lisi has authorized me to waive his appearance as to Brandon Lisi...ENDORSEMENT..Application granted. SO ORDERED. (Signed by Judge

		Katherine Polk Failla on 3/10/2016) (jw) (Entered: 03/10/2016)
03/11/2016	<u>26</u>	ORDER as to Brandon Lisi, Katerina Arvanitakis. Time excluded from 3/11/16 until 9/14/16 (Status Conference set for 9/14/2016 at 03:00 PM before Judge Katherine Polk Failla.) As discussed at the conference with the parties on March 11, 2016, it is hereby ORDERED that Defendants complete their review of discovery and appear for a status conference before the Court on September 14, 2016, at 3:00 p.m. It is further ORDERED that Defendants submit a status letter every 30 days updating the Court as to the progress of this matter and their review of documents. The first such status letter is due to the Court on or before April 11, 2016. No extensions of these dates will be granted absent compelling cause. It is further ORDERED that time is excluded under the Speedy Trial Act between March 11, 2016, and September 14, 2016. The Court finds that the ends of justice served by excluding such time outweigh the interests of the public and the defendant in a speedy trial because it will permit defense counsel to continue to obtain and review discovery, and to consider any pretrial motions. (Signed by Judge Katherine Polk Failla on 3/11/2016)(jw) (Entered: 03/14/2016)
03/11/2016		Minute Entry for proceedings held before Judge Katherine Polk Failla:Status Conference as to Brandon Lisi held on 3/11/2016. Deft. Brandon Lisis appearance waived. Atty. Lisa Scolari Pres. For deft. Lisi. Deft. Katerina Arvanitakis Pres. W/Attys. Patrick A. Mullin & Kurt P. Kerns. A.U.S.A. Michael Lockard pres. Ct. Rep. Ellen Simone pres. Pro Hac Vice motion by defense counsel Kurt P. Kerns is granted for the purposes of this conference subject to the future filing of appropriate documents with the Clerks Office.Status conference held. Joint application for a continuance to provide more time to review discovery is granted. Next conf. Set for 9/14/16 at 3:00pm.T.E. in the interest of justice from 3/11/16 to 9/14/16. Each deft. Contd. Released on bail as previously set. (ajc) (Entered: 03/15/2016)
03/11/2016		ORAL ORDER as to Brandon Lisi: Time excluded from 3/11/16 until 9/14/16. As to Brandon Lisi(Status Conference set for 9/14/2016 at 03:00 PM before Judge Katherine Polk Failla.) (Signed by Judge Katherine Polk Failla on 3/11/16)(ajc) (Entered: 03/15/2016)
03/14/2016	<u>27</u>	FIRST MOTION for Kurt P. Kerns to Appear Pro Hac Vice . Motion and supporting papers to be reviewed by Clerk's Office staff. Document filed by Katerina Arvanitakis as to Brandon Lisi, Katerina Arvanitakis. (Attachments: # <u>1</u> Text of Proposed Order)(Mullin, Patrick) (Entered: 03/14/2016)
03/14/2016		>>>NOTICE REGARDING PRO HAC VICE MOTION. Regarding Document No. <u>27</u> FIRST MOTION for Kurt P. Kerns to Appear Pro Hac Vice . Motion and supporting papers to be reviewed by Clerk's Office staff.. The document has been reviewed and there are no deficiencies. (bcu) (Entered: 03/14/2016)
03/15/2016	<u>28</u>	ORDER as to (15–Cr–457–02) Katerina Arvanitakis. The motion of Kurt P. Kerns for admission to practice Pro Hac Vice in the above–captioned action is hereby GRANTED. Mr. Kerns has declared that he is a member in good standing of the bar of the State of Kansas, and that his contact information is as follows:...[See this Order]... Mr. Kerns has requested admission Pro Hac Vice for all purposes as counsel for Defendant Katerina Arvanitakis. Accordingly, it is hereby ORDERED that Mr. Kerns is admitted to practice Pro Hac Vice in the above–captioned case in the United States District Court for the Southern District of New York. All attorneys appearing before this Court are subject to the Local Rules of this Court, including the Rules governing discipline of attorneys. SO ORDERED. (Signed by Judge Katherine Polk Failla on 3/15/2016)(bw) (Entered: 03/15/2016)
03/15/2016		Attorney update in case as to Katerina Arvanitakis (2). Attorney Kurt P. Kerns for Katerina Arvanitakis added. (bw) (Entered: 03/17/2016)
04/12/2016	29	SEALED DOCUMENT placed in vault. (rz) (Entered: 04/12/2016)
04/14/2016		Minute Entry for proceedings held before Judge Katherine Polk Failla: Telephone Conference as to Brandon Lisi, Katerina Arvanitakis held on 4/14/2016. Defts. appearances waived. Telephone conference held. Attys. Lisa Scolari for deft. Brandon Lisi, Kurt P. Kerns for deft. Katerina Arvanitakis & A.U.S.A. David Zhou in participation via phone. Ct. Rep. Alena Lynch pres. Status conf. In re: discovery held. (jbo) (Entered: 04/14/2016)

04/15/2016	<u>30</u>	NOTICE OF ATTORNEY APPEARANCE Michael Dennis Lockard appearing for USA. (Lockard, Michael) (Entered: 04/15/2016)
04/15/2016	<u>31</u>	ENDORSED LETTER as to (15–Cr–457–01) Brandon Lisi addressed to Judge Katherine Polk Failla from Attorney Lisa Scolari dated April 15, 2016 re: During yesterday's phone conference the Court directed me to write with specific details regarding the limits on Mr. Lisi's access to the digital discovery in this case. I agreed to submit a letter today but, I write to request additional time to do so. ENDORSEMENT: Application GRANTED. Defendant's letter is due on or before April 20, 2016. SO ORDERED. (Signed by Judge Katherine Polk Failla on 4/15/2016)(bw) (Entered: 04/15/2016)
05/02/2016	<u>32</u>	ORDER as to Brandon Lisi, Katerina Arvanitakis. The Court is in receipt of Defendant Lisi's request for expenditure of CJA funds for the printing and delivery of discovery in this matter. For the reasons discussed during the Court's telephone conferences with the parties on April 14, 2016, and May 2, 2016, Defendant Lisi's application is DENIED. SO ORDERED. (Signed by Judge Katherine Polk Failla on 5/2/2016)(bw) (Entered: 05/03/2016)
05/10/2016	<u>33</u>	FILING ERROR – WRONG EVENT TYPE SELECTED FROM MENU – FIRST MOTION for Extension of Time ., LETTER MOTION addressed to Judge Katherine Polk Failla from Lisa Scolari dated May 10, 2016 re: Extension of time . Document filed by Brandon Lisi as to Brandon Lisi, Katerina Arvanitakis. (Scolari, Lisa) Modified on 5/11/2016 (ka). (Entered: 05/10/2016)
05/10/2016	<u>35</u>	ENDORSED LETTER as to (15–Cr–457–01) Brandon Lisi addressed to Judge Katherine Polk Failla from Attorney Lisa Scolari dated May 10, 2016 re: I write to request a one day extension of time to file the defendant's second discovery review report, which is due today. ENDORSEMENT: Application GRANTED. SO ORDERED. (Signed by Judge Katherine Polk Failla on 5/10/2016)(bw) (Entered: 05/11/2016)
05/11/2016		NOTICE TO ATTORNEY TO RE–FILE DOCUMENT – EVENT TYPE ERROR as to Brandon Lisi, Katerina Arvanitakis: Notice to Attorney Lisa Scolari to RE–FILE Document <u>33</u> FIRST MOTION for Extension of Time. LETTER MOTION addressed to Judge Katherine Polk Failla from Lisa Scolari dated May 10, 2016 re: Extension of time. Use the event type Letter Motion found under the event list Motions. (ka) (Entered: 05/11/2016)
05/11/2016	<u>34</u>	FIRST LETTER MOTION addressed to Judge Katherine Polk Failla from Lisa Scolari dated May 10, 2016 re: Extension of time . Document filed by Brandon Lisi. (Scolari, Lisa) (Entered: 05/11/2016)
05/12/2016	<u>36</u>	ENDORSED LETTER as to (15–Cr–457–01) Brandon Lisi addressed to Judge Katherine Polk Failla from Attorney Lisa Scolari dated May 11, 2016 re: I write on behalf of the defense to provide the Court with a status report on our acquisition and review of the discovery and related documents in this case. ENDORSEMENT: The Court is in receipt of the instant status update. In light of its content, the Court now approves the request for \$10,000 in CJA funds for the printing and delivery of hard–copy documents for Defendant Lisi. Although the Court is skeptical that Defendant Lisi has indeed made a good–faith effort to review this discovery electronically, the Court will nonetheless permit this request in order to forestall future complaints of his inability to complete discovery review prior to given deadlines. SO ORDERED. (Signed by Judge Katherine Polk Failla on 5/12/2016)(bw) (Entered: 05/12/2016)
07/21/2016	<u>37</u>	LETTER by Brandon Lisi as to Brandon Lisi, Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Lisa Scolari dated July 21, 2016 re: Discovery review report Document filed by Brandon Lisi. (Scolari, Lisa) (Entered: 07/21/2016)
07/21/2016	<u>38</u>	ENDORSED LETTER as to Brandon Lisi addressed to Judge Katherine Polk Failla from Lisa Scolari dated 7/21/2016 re: I write on behalf of both defendants to provide the Court with a status report on our acquisition and review of the discovery and related documents in this case..ENDORSEMENT: The Court is in receipt of and has reviewed the monthly discovery report from Defendants. The next such update is due on August 15, 2016. (Signed by Judge Katherine Polk Failla on 7/21/2016)(jw) (Entered: 07/21/2016)

08/15/2016	<u>39</u>	ENDORSED LETTER as to Brandon Lisi, Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Attorney Lisa Scolari, Counsel for Defendant Brandon Lisi, dated August 12, 2016 re: I write on behalf of both defendants to provide the Court with a status report on our acquisition and review of the discovery and related documents in this case. ENDORSEMENT: The Court has received and reviewed the above status update. The parties may provide their next status update at the pretrial conference with the Court scheduled for September 14, 2016, at 3:00 p.m. SO ORDERED. (Signed by Judge Katherine Polk Failla on 8/15/2016)(bw) (Entered: 08/15/2016)
09/09/2016	<u>40</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Lisa Scolari dated September 9, 2016 re: Adjourn conference . Document filed by Brandon Lisi as to Brandon Lisi, Katerina Arvanitakis. (Scolari, Lisa) (Entered: 09/09/2016)
09/09/2016	<u>41</u>	ENDORSED LETTER as to Brandon Lisi, Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Attorney Lisa Scolari, Counsel for Brandon Lisi, dated September 9, 2016 re: I write on behalf of both defendants and with the consent of the government, to request that the Court grant a three month adjournment of the September 14, 2016 conference in this matter. If the Court were to require an appearance, I would ask that Brandon Lisi be excused due to his continued need for medical care, being pursued at Ft. Dix. ENDORSEMENT: Application DENIED. Given that six months have lapsed since the Court's prior conference with the parties, the Court requires an in-person conference at this time to discuss the progress of discovery and any necessary extensions of time to complete review. Mr. Lisi's appearance at the conference is waived. SO ORDERED. (Signed by Judge Katherine Polk Failla on 9/9/2016)(bw) (Entered: 09/09/2016)
09/14/2016		Minute Entry for proceedings held before Judge Katherine Polk Failla: Pretrial Conference as to Brandon Lisi held on 9/14/2016. Atty. Lisa Scolari pres. for deft. Brandon Lisi. The Court waives Mr. Lisi's appearance. Deft. Katerina Arvanitakis pres. W/atty. Patrick A. Mullin. A.U.S.A. Michael Dennis Lockard pres. Ct. Rep. Tom Murray pres. Pre-trial conf. Held. Joint application for a continuance to provide more time for to complete reviewing discovery is granted. Next conf. Set for 12/15/2016 at 3:30pm. Time excluded in the interest of justice from 9/14/2016 to 12/15/2016. Each Deft. Cont'd. Released on bail as previously set. (Status Conference set for 12/15/2016 at 03:30 PM before Judge Katherine Polk Failla.) (jbo) (Entered: 09/23/2016)
09/15/2016	<u>42</u>	ORDER as to Brandon Lisi, Katerina Arvanitakis. Pursuant to the parties' conference with the Court on September 14, 2016, discovery in this matter is hereby extended until December 14, 2016. As discussed at the conference, the Court will not extend discovery any further absent exceptional circumstances. Defendants are ORDERED to submit a joint status letter each month, with the first due by October 14, 2016. SO ORDERED. (Signed by Judge Katherine Polk Failla on 9/15/2016)(bw) (Entered: 09/15/2016)
10/13/2016	<u>43</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Lisa Scolari dated October 13, 2016 re: Extension of time . Document filed by Brandon Lisi. (Scolari, Lisa) (Entered: 10/13/2016)
10/14/2016	<u>44</u>	MEMO ENDORSEMENT granting <u>43</u> LETTER MOTION as to Brandon Lisi (1). ENDORSEMENT: Application GRANTED. SO ORDERED. (Signed by Judge Katherine Polk Failla on 10/14/2016) (ft) (Entered: 10/14/2016)
10/18/2016	<u>45</u>	TRANSCRIPT of Proceedings as to Brandon Lisi, Katerina Arvanitakis re: Conference held on 9/14/16 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Thomas Murray, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 11/14/2016. Redacted Transcript Deadline set for 11/21/2016. Release of Transcript Restriction set for 1/19/2017. (McGuirk, Kelly) (Entered: 10/18/2016)
10/18/2016	<u>46</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi, Katerina Arvanitakis. Notice is hereby given that an official transcript of a Conference proceeding held on 9/14/16 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the

		transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 10/18/2016)
10/21/2016	<u>47</u>	LETTER by Brandon Lisi addressed to Judge Katherine Polk Failla from Lisa Scolari dated October 21, 2016 re: Discovery Review Report (Scolari, Lisa) (Entered: 10/21/2016)
11/20/2016	<u>48</u>	LETTER by Brandon Lisi as to Brandon Lisi, Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Lisa Scolari dated November 21, 2016 re: Discovery Review Report Document filed by Brandon Lisi. (Scolari, Lisa) (Entered: 11/20/2016)
11/21/2016	<u>49</u>	ENDORSED LETTER as to Brandon Lisi, Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Attorney Lisa Scolari, Counsel for Defendant Brandon Lisi, dated November 21, 2016 re: I write on behalf of both defendants to provide the Court with a status report on our acquisition and review of the discovery and related documents in this case. ENDORSEMENT: By Order dated September 15, 2016 (Dkt. #42), the Court set the discovery deadline in this matter for December 14, 2016. The parties are reminded that discovery must conclude by that date, and that the Court will not extend this deadline "absent exceptional circumstances." (Id.). SO ORDERED. (Signed by Judge Katherine Polk Failla on 11/21/2016)(bw) (Entered: 11/21/2016)
12/15/2016	<u>50</u>	SCHEDULING ORDER as to Brandon Lisi, Katerina Arvanitakis. Pursuant to the parties' conference with the Court on December 15, 2016, it is ORDERED that: Defendants' pretrial motions are due by March 6, 2017; The Government's response to Defendants' pretrial motions is due by March 27, 2017; Defendants' replies to the Government's response, should Defendants wish to file replies, are due by April 10, 2017; The Court will hear oral argument on Defendants' pretrial motions on April 21, 2017, at 4:00 p.m.; The final pretrial conference in this case will be held on June 2, 2017, at 3:00 p.m.; and Trial will commence on June 12, 2017, at 9:00 a.m. SO ORDERED. (Signed by Judge Katherine Polk Failla on 12/15/2016)(bw) (Entered: 12/16/2016)
12/15/2016		Minute Entry for proceedings held before Judge Katherine Polk Failla: Status Conference as to Brandon Lisi, Katerina Arvanitakis held on 12/15/2016. Deft. Brandon Lisi's appearance waived. Atty. Lisa Scolari pres. For deft. Lisi. Deft. Katerina Arvanitakis pres. W/Atty. Kurt P. Kerns. A.U.S.A. Michael Dennis Lockard by Noah Solowiejczyk pres. Ct. Rep. Rebecca Forman pres. Status Conference held. (See Order of the Court entered 12/16/2016; Doc. # 50). Each deft. Cont'd. Released on bail as previously set. (jbo) (Entered: 12/28/2016)
12/19/2016	<u>51</u>	LETTER by USA as to Brandon Lisi, Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Michael Lockard and Noah Solowiejczyk dated December 19, 2016 re: Defense request for protective order Document filed by USA. (Solowiejczyk, Noah) (Entered: 12/19/2016)
12/19/2016	<u>52</u>	NOTICE OF ATTORNEY APPEARANCE Noah David Solowiejczyk appearing for USA. (Solowiejczyk, Noah) (Entered: 12/19/2016)
12/21/2016	<u>53</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Kurt Kerns dated December 20, 2016 re: Protective Order Request . Document filed by Katerina Arvanitakis. (Scolari, Lisa) (Entered: 12/21/2016)
12/21/2016	<u>54</u>	ENDORSED LETTER as to Brandon Lisi, Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Attorney Kurt P. Kerns dated December 20, 2016 re: I write on behalf of my client, Katerina Arvanitakis, with the joint request of Lisa Scolari, co-defendant's counsel. We are requesting the Court issue a protective order as to the discovery in the above captioned matter. ENDORSEMENT: Counsel for Defendants Arvanitakis and Lisi are ORDERED to submit (i) a memorandum of law explaining the legal basis for issuing a protective order in this matter, and (ii) a proposed protective order by January 9, 2017. SO ORDERED. (Signed by Judge Katherine Polk Failla on 12/21/2016)(bw) (Entered: 12/21/2016)
01/06/2017	<u>55</u>	TRANSCRIPT of Proceedings as to Brandon Lisi, Katerina Arvanitakis re: Conference held on 12/15/16 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Rebecca Forman, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained

		through PACER. Redaction Request due 1/27/2017. Redacted Transcript Deadline set for 2/6/2017. Release of Transcript Restriction set for 4/6/2017. (McGuirk, Kelly) (Entered: 01/06/2017)
01/06/2017	<u>56</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi, Katerina Arvanitakis. Notice is hereby given that an official transcript of a Conference proceeding held on 12/15/16 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 01/06/2017)
01/09/2017	<u>57</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Kurt Kerns dated January 9, 2017 re: Motion for protective ordere . Document filed by Katerina Arvanitakis. (Attachments: # <u>1</u> Exhibit)(Scolari, Lisa) (Entered: 01/09/2017)
01/10/2017	<u>58</u>	PROTECTIVE ORDER as to Brandon Lisi, Katerina Arvanitakis. The Court has for its consideration an agreement of the parties to enter a Protective Order concerning discovery materials produced by the Government in the course of this prosecution. Having been advised as to the nature of this case, the need for the agreed-upon Protective Order, and the Court's authority under Federal Rule of Criminal Procedure 16(d), the Court finds that the following Protective Order should be entered: The Court finds that investigative materials produced by the Government to Defendants are provided for purposes of the trial or trials of the above-captioned case. IT IS THEREFORE ORDERED that any disclosures by the Government to Defendants are protected from disclosure to third parties. This Protective Order includes discovery provided to date and any discovery disclosed prior to trial, including grand jury and other investigative materials which must be disclosed under normal circumstances pursuant to the dictates of the Jencks Act, 18 U.S.C. § 3500; Federal Rule of Criminal Procedure 16; Giglio v. United States, 405 U.S. 150 (1972); and Brady v. Maryland, 373 U.S. 83 (1963). IT IS FURTHER ORDERED that:...[See this Protective Order for complete details]... SO ORDERED. (Signed by Judge Katherine Polk Failla on 1/10/2017)(bw) (Entered: 01/10/2017)
01/23/2017	<u>59</u>	NOTICE OF ATTORNEY APPEARANCE Katherine Cannella Reilly appearing for USA. (Reilly, Katherine) (Entered: 01/23/2017)
02/02/2017	<u>60</u>	ORDER as to Brandon Lisi, Katerina Arvanitakis. WHEREUPON, after being first duly advised in the premises, and after being advised that Pre-Trial Services and the Government have no objection to the Defendant's proposed travel plans, the Court ORDERS that Ms. Arvanitakis be allowed to travel outside the State of New York as follows: i. Defendant Katerina Arvanitakis, is hereby authorized to leave the State of New York to travel to Wichita, Kansas, to meet with her attorney Kurt P. Kerns when needed. ii. Defendant Katerina Arvanitakis is further authorized to travel outside of the State of New York to any other State necessary en route to and from meeting with her attorney in Wichita, Kansas. SO ORDERED (Signed by Judge Katherine Polk Failla on 2/2/2017)(ft) (Entered: 02/02/2017)
02/27/2017	61	SEALED DOCUMENT placed in vault. (rz) (Entered: 02/27/2017)
03/27/2017	<u>62</u>	MOTION to Sever Defendant . Document filed by Katerina Arvanitakis. (Kerns, Kurt) (Entered: 03/27/2017)
03/27/2017	<u>63</u>	MOTION for Bill of Particulars . Document filed by Katerina Arvanitakis. (Kerns, Kurt) (Entered: 03/27/2017)
03/29/2017	<u>64</u>	ORDER as to Brandon Lisi, Katerina Arvanitakis. It is hereby ORDERED that a change of plea proceeding as to the defendant, Brandon Lisi, will be held before this Court on April 3, 2017 at 9:30 a.m. It is further ORDERED that the defendant is permitted to appear for the change of plea proceeding by video conference from Federal Correctional Institution Fort Dix. SO ORDERED. (Signed by Judge Katherine Polk Failla on 3/29/2017)(bw) (Entered: 03/29/2017)
04/03/2017		Minute Entry for proceedings held before Judge Katherine Polk Failla: Change of Plea Hearing as to Brandon Lisi held on 4/3/2017. Plea entered by Brandon Lisi (1) Guilty as to Count 1. Deft. Brandon Lisi pres. Via video conference W/Atty. Lisa Scolari. A.U.S.A. Katherine Cannella Reilly & Noah David Solowiejezyk pres. Govt.

		Investigator Smythe pres. Ct. Rep. Steven Greenblum pres. Deft. Allocuted & advised of rights. Deft. Withdraws previously entered plea of not guilty and enters a plea of guilty as charged in count one of Indictment 15CR.457(KPF). Plea accepted. PSR Ordered. Sentencing set for 7/19/17 at 3:00pm. Defendant's sentencing submission 2 weeks prior to sentencing. Government's sentencing submission 1 week prior to sentencing. Deft. Continued remanded. (Sentencing set for 7/19/2017 at 03:00 PM before Judge Katherine Polk Failla.) (jbo) (Entered: 04/04/2017)
04/03/2017		Change of Not Guilty Plea to Guilty Plea as to Brandon Lisi (1) Count 1. (jbo) (Entered: 04/04/2017)
04/03/2017		Order of Referral to Probation for Presentence Investigation and Report as to Brandon Lisi. (Signed by Judge Katherine Polk Failla on 4/3/17)(jbo) (Entered: 04/04/2017)
04/06/2017	<u>65</u>	NOTICE OF ATTORNEY APPEARANCE: James Kousouros appearing for Katerina Arvanitakis. Appearance Type: Retained. (Kousouros, James) (Entered: 04/06/2017)
04/10/2017	<u>66</u>	SEALED DOCUMENT placed in vault. (mps) (Entered: 04/10/2017)
04/12/2017	<u>67</u>	MEMORANDUM in Opposition by USA as to Katerina Arvanitakis re <u>63</u> MOTION for Bill of Particulars .. (Solowiejczyk, Noah) (Entered: 04/12/2017)
05/02/2017	<u>68</u>	TRANSCRIPT of Proceedings as to Brandon Lisi re: Plea held on 4/3/17 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Steven Greenblum, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 5/23/2017. Redacted Transcript Deadline set for 6/2/2017. Release of Transcript Restriction set for 7/31/2017. (McGuirk, Kelly) (Entered: 05/02/2017)
05/02/2017	<u>69</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi. Notice is hereby given that an official transcript of a Plea proceeding held on 4/3/17 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 05/02/2017)
05/03/2017	<u>70</u>	(S1) SUPERSEDING INDICTMENT FILED as to Katerina Arvanitakis (2) count(s) 1s, 2s, 3s, 4s, 5s. (jm) (Entered: 05/04/2017)
05/05/2017	<u>71</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from James Kousouros dated May 5, 2017 re: Request for Permission to Attend Family Member's Wedding in New Jersey on Saturday, May 6, 2017 . Document filed by Katerina Arvanitakis. (Kousouros, James) (Entered: 05/05/2017)
05/05/2017	<u>72</u>	ENDORSED LETTER as to (15-Cr-457-02) Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Attorney James Kousouros dated May 5, 2017 re: This letter is to respectfully request permission for Katerina Arvanitakis to attend a wedding of a family member in Rockleigh, New Jersey on Saturday, May 6, 2017 at 6:30 P.M. Ms. Arvanitakis will provide Officer Pompey with a detailed itinerary with respect to her travel to and from the wedding. ENDORSEMENT: Application GRANTED. SO ORDERED. (Signed by Judge Katherine Polk Failla on 5/5/2017)(bw) (Entered: 05/05/2017)
05/08/2017	<u>73</u>	SCHEDULING ORDER as to Katerina Arvanitakis (Responses due by 5/25/2017, Pretrial Conference set for 6/2/2017 at 03:00 PM before Judge Katherine Polk Failla.) it is hereby ORDERED that the parties shall submit jury charge requests, proposed questions for voir dire, and motions in limine on May 19, 2017; Any opposition(s) will be due on May 25, 2017; and The final pretrial conference in this case will be held on June 2, 2017, at 3:00 p.m. SO ORDERED (Signed by Judge Katherine Polk Failla on 5/8/2017)(jw) (Entered: 05/08/2017)
05/08/2017	<u>74</u>	Minute Entry for proceedings held before Judge Katherine Polk Failla: Arraignment as to Katerina Arvanitakis (2) Count 1s,2s,3s,4s,5s held on 5/8/2017. Plea entered by Katerina Arvanitakis Not Guilty. Deft. Katerina Arvanitakis pres. W/atty. James Kousouros. A.U.S.A. Katherine Cannella Reilly & Noah David Solowiejczyk pres. Ct. Rep. Tom Murray pres. Deft. Arraigned & advised of rights in Ind. S1 15 CR

		457(KPF). Deft. Enters a plea of not guilty as charged in all counts, 1 through 5 of Ind. S1 15 CR 457(KPF). Oral Argument held on deft's. motion for bill of particulars. Deft's. motion for bill of particulars is denied for the reasons stated on the record. Time excluded in the interest of justice from 5/8/17 to 6/12/17. Deft. Cont'd. Released on bail as previously set. (jbo) (Entered: 05/08/2017)
05/12/2017	<u>75</u>	TRANSCRIPT of Proceedings as to Brandon Lisi re: Conference held on 5/2/17 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Kelly Surina, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 6/2/2017. Redacted Transcript Deadline set for 6/12/2017. Release of Transcript Restriction set for 8/10/2017. (McGuirk, Kelly) (Entered: 05/12/2017)
05/12/2017	<u>76</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi. Notice is hereby given that an official transcript of a Conference proceeding held on 5/2/17 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 05/12/2017)
05/16/2017		Minute Entry for proceedings held before Magistrate Judge Gabriel W. Gorenstein: Change of Plea Hearing as to Katerina Arvanitakis held on 5/16/2017. Plea entered by Katerina Arvanitakis (2) Guilty as to Count 2s. Defendant appears with attorney James Kousourous. AUSA Katherine Reilly present for the Government. Court reporter present. Defendant withdraws plea of not guilty and enters a plea of guilty to count #2. Magistrate Judge Gorenstein recommends that Judge Failla accept the plea. Bail continued. Sentence set for September 15, 2017. (jbo) (Entered: 05/16/2017)
05/16/2017		Change of Not Guilty Plea to Guilty Plea as to Katerina Arvanitakis (2) Count 2s. (jbo) (Entered: 05/16/2017)
05/16/2017	<u>77</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Lisa Scolari dated May 16, 2017 re: Motion for new counsel . Document filed by Brandon Lisi. (Scolari, Lisa) (Entered: 05/16/2017)
05/16/2017	<u>78</u>	CONSENT TO PROCEED BEFORE US MAGISTRATE JUDGE ON A FELONY PLEA ALLOCUTION by Katerina Arvanitakis. (jm) (Entered: 05/17/2017)
05/17/2017	<u>79</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from AUSA Katherine Reilly dated May 17, 2017 re: Acceptance of Guilty Plea . Document filed by USA as to Katerina Arvanitakis. (Attachments: # <u>1</u> Proposed Order, # <u>2</u> Transcript)(Reilly, Katherine) (Entered: 05/17/2017)
05/17/2017	<u>80</u>	ORDER as to (S1-15-Cr-457-02) Katerina Arvanitakis. WHEREAS, with the defendant's consent, her guilty plea allocution was made before a United States Magistrate Judge on May 16, 2017; WHEREAS, a transcript of the allocution was made and thereafter was transmitted to the District Court; and WHEREAS, upon review of that transcript, this Court has determined that the defendant entered the guilty plea knowingly and voluntarily and that there was a factual basis for the guilty plea; IT IS HEREBY ORDERED that the defendant's guilty plea is accepted. SO ORDERED: (Signed by Judge Katherine Polk Failla on 5/17/2017) [*** Defendant Arvanitakis's sentencing control date is rescheduled for October11, 2017, at 3:00 p.m. ***] (bw) (Entered: 05/17/2017)
05/23/2017	<u>81</u>	ORDER as to (15-Cr-457-01) Brandon Lisi. It is hereby ORDERED that a conference will be held in the above-captioned action with regard to defendant Brandon Lisi before this Court on June 2, 2017 at 1:00 p.m. It is further ORDERED that the defendant is permitted to appear for the conference by video conference from Federal Correctional Institution Fort Dix. SO ORDERED. (Signed by Judge Katherine Polk Failla on 5/23/2017)(bw) (Entered: 05/23/2017)
05/23/2017	<u>82</u>	TRANSCRIPT of Proceedings as to Katerina Arvanitakis re: Arraignment held on 5/8/17 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Thomas Murray, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of

		Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 6/13/2017. Redacted Transcript Deadline set for 6/23/2017. Release of Transcript Restriction set for 8/21/2017. (McGuirk, Kelly) (Entered: 05/23/2017)
05/23/2017	<u>83</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Katerina Arvanitakis. Notice is hereby given that an official transcript of a Arraignment proceeding held on 5/8/17 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 05/23/2017)
05/24/2017	<u>84</u>	TRANSCRIPT of Proceedings as to Katerina Arvanitakis re: Plea held on 5/16/17 before Magistrate Judge Gabriel W. Gorenstein. Court Reporter/Transcriber: Pamela Utter, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 6/14/2017. Redacted Transcript Deadline set for 6/26/2017. Release of Transcript Restriction set for 8/22/2017. (McGuirk, Kelly) (Entered: 05/24/2017)
05/24/2017	<u>85</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Katerina Arvanitakis. Notice is hereby given that an official transcript of a Plea proceeding held on 5/16/17 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 05/24/2017)
06/05/2017	<u>86</u>	ORDER as to (15-Cr-457-01) Brandon Lisi. At a pre-sentencing conference on June 2, 2017, the parties discussed several issues concerning Defendant Brandon Lisi's legal representation....[See this Order]... Subsequently, the Court contacted the Southern District of New York's Assistant Director of Criminal Case Operations, who has advised the Court that a member of the Panel -- Joseph Grob, Esq. -- can assist Mr. Lisi on the timetable discussed at the conference. Accordingly, Attorney Scolari's motion to withdraw as Mr. Lisi's counsel (Dkt. #77) is GRANTED, and the Court ORDERS that Attorney Grob be substituted for Attorney Scolari as Mr. Lisi's counsel of record, effective as of today's date. The Court directs Ms. Scolari to send a copy of this Order to Mr. Lisi at her earliest convenience, and further directs Mr. Grob to enter a notice of appearance in this case promptly. SO ORDERED. (Signed by Judge Katherine Polk Failla on 6/5/2017)(bw) (Entered: 06/05/2017)
06/05/2017		Attorney update in case as to Brandon Lisi (1). Attorney Lisa Scolari terminated. (bw) (Entered: 06/05/2017)
06/05/2017		Minute Entry for proceedings held before Judge Katherine Polk Failla: Status Conference as to Brandon Lisi held on 6/5/2017. Deft. Brandon Lisi's appearance is waived. Deft. Brandon Lisi pres. Via Tele. Video Conference W/Atty. Lisa Scolari. A.U.S.A. Katherine Cannella Reilly pres. Status conf. Held. Deft. Cont'd. Remanded. (jbo) (Entered: 06/06/2017)
06/12/2017	<u>87</u>	S(2) INFORMATION (Felony) filed as to Paul Katsaros (3) count(s) 1. (jm) (Entered: 06/12/2017)
06/12/2017		Arrest/Surrender of Paul Katsaros. (jm) (Entered: 06/12/2017)
06/12/2017	<u>88</u>	Minute Entry for proceedings held before Judge Katherine Polk Failla: Initial Appearance as to Paul Katsaros held on 6/12/2017. Defendant is present with attorney Joseph Nohanika; AUSA Katherine Reilly is also present. Defendant is to be released on a \$50,000 PRB; one FRP; travel restricted to SDNY/EDNY/DNJ; surrender travel documents & no new applications; supervision as directed by PTS; Deft. to be released on own signature with remaining conditions to be met by 6/19/17. (jm) (Entered: 06/12/2017)
06/12/2017	<u>89</u>	WAIVER OF INDICTMENT by Paul Katsaros. (jm) (Entered: 06/12/2017)
06/12/2017		Minute Entry for proceedings held before Judge Katherine Polk Failla: Defendant is present with attorney Nohavika; AUSA Reilly and PTSO Blackford are also present.

		Filed Waiver of Indictment and S(2) Information. Defendant arraigned, allocuted and advised of rights. Arraignment as to Paul Katsaros (3) Count 1 held on 6/12/2017. Plea entered by Paul Katsaros (3) Guilty as to Count 1., As to Paul Katsaros. Plea accepted. PSR ordered. Bail set.(Sentencing set for 9/26/2017 at 03:00 PM before Judge Katherine Polk Failla. Deft's sentencing submissions are due two (2) weeks prior to sentencing. Government's are due one (1) week prior to sentencing) (Court Reporter Alena Lynch) (jm) (Entered: 06/12/2017)
06/12/2017		Order of Referral to Probation for Presentence Investigation and Report as to Paul Katsaros. (jm) (Entered: 06/12/2017)
06/12/2017	<u>90</u>	PRB Bond Entered as to Paul Katsaros in amount of \$ 50,000, one FRP; travel restricted to SDNY/EDNY/DNJ; surrender travel documents & no new applications; supervision as directed by PTS; Deft. to be released on own signature with remaining conditions to be met by 6/19/17. (jm) (Entered: 06/12/2017)
06/12/2017	<u>91</u>	NOTICE OF ATTORNEY APPEARANCE: Joseph D. Nohavicka appearing for Paul Katsaros. Appearance Type: Retained. (Nohavicka, Joseph) (Entered: 06/12/2017)
06/12/2017	<u>92</u>	NOTICE OF ATTORNEY APPEARANCE: Anastasi Pardalis appearing for Paul Katsaros. Appearance Type: Retained. (Pardalis, Anastasi) (Entered: 06/12/2017)
06/14/2017	<u>93</u>	NOTICE OF ATTORNEY APPEARANCE: Joseph Aaron Grob appearing for Brandon Lisi. Appearance Type: CJA Appointment. (Grob, Joseph) (Entered: 06/14/2017)
06/22/2017	<u>94</u>	ENDORSED LETTER as to Brandon Lisi addressed to Judge Katherine Polk Failla from Joseph A. Grob dated 6/22/2017 re: Reschedule Sentencing....ENDORSEMENT: Application GRANTED. Defendant Lisi's sentencing is adjourned until August 23, 2017 at 10:00am (Sentencing set for 8/23/2017 at 10:00 AM before Judge Katherine Polk Failla.) (Signed by Judge Katherine Polk Failla on 6/22/17)(jw) (Entered: 06/23/2017)
06/27/2017	<u>95</u>	SEALED DOCUMENT placed in vault. (rz) (Entered: 06/27/2017)
06/29/2017	<u>96</u>	TRANSCRIPT of Proceedings as to Brandon Lisi re: Conference held on 5/22/17 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Andrew Walker, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 7/20/2017. Redacted Transcript Deadline set for 7/31/2017. Release of Transcript Restriction set for 9/27/2017. (McGuirk, Kelly) (Entered: 06/29/2017)
06/29/2017	<u>97</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi. Notice is hereby given that an official transcript of a Conference proceeding held on 5/22/17 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 06/29/2017)
07/06/2017	<u>98</u>	TRANSCRIPT of Proceedings as to Brandon Lisi re: Conference held on 6/2/17 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Pamela Utter, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 7/27/2017. Redacted Transcript Deadline set for 8/7/2017. Release of Transcript Restriction set for 10/4/2017. (McGuirk, Kelly) (Entered: 07/06/2017)
07/06/2017	<u>99</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi. Notice is hereby given that an official transcript of a Conference proceeding held on 6/2/17 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 07/06/2017)

07/12/2017	<u>100</u>	SEALED DOCUMENT placed in vault. (mps) (Entered: 07/12/2017)
07/24/2017	<u>102</u>	ORDER as to (15–Cr–457–02) Katerina Arvanitakis. It is hereby ORDERED that Defendant Katerina Arvanitakis's sentencing is scheduled for October 11, 2017, at 3:00 p.m. SO ORDERED. (Signed by Judge Katherine Polk Failla on 7/24/2017)(bw) (Entered: 07/24/2017)
07/26/2017	<u>103</u>	ENDORSED LETTER as to Brandon Lisi addressed to Judge Katherine Polk Failla from Joseph A. Grob dated 7/26/17 re: Reschedule Sentencing...ENDORSEMENT: Application GRANTED. Defendant Lisi's sentencing is ADJOURNED until September 19, 2017, at 3:00 p.m. There will be no further extensions (Sentencing set for 9/19/2017 at 03:00 PM before Judge Katherine Polk Failla.) (Signed by Judge Katherine Polk Failla on 7/26/17)(jw) (Entered: 07/27/2017)
07/31/2017	<u>104</u>	TRANSCRIPT of Proceedings as to Paul Katsaros re: Plea held on 6/12/17 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Alena Lynch, (212) 805–0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 8/21/2017. Redacted Transcript Deadline set for 8/31/2017. Release of Transcript Restriction set for 10/30/2017. (McGuirk, Kelly) (Entered: 07/31/2017)
07/31/2017	<u>105</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Paul Katsaros. Notice is hereby given that an official transcript of a Plea proceeding held on 6/12/17 has been filed by the court reporter/transcriber in the above–captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 07/31/2017)
09/05/2017	<u>107</u>	SENTENCING SUBMISSION by Brandon Lisi. (Attachments: # <u>1</u> Exhibit Exhibits A–D with redactions, # <u>2</u> Exhibit Exhibits E–G, # <u>3</u> Exhibit Exhibits H–K, # <u>4</u> Exhibit Exhibit L, # <u>5</u> Exhibit Exhibits M–N, # <u>6</u> Exhibit Exhibit O – with redactions, # <u>7</u> Exhibit Exhibit P with redactions, # <u>8</u> Exhibit Exhibits Q–T with redactions, # <u>9</u> Exhibit Exhibit U, # <u>10</u> Exhibit Exhibit V, # <u>11</u> Exhibit Exhibits W–Y with redactions, # <u>12</u> Exhibit Exhibits Z– AA, # <u>13</u> Exhibit Exhibits BB–EE with redactions, # <u>14</u> Exhibit Exhibits FF–TT with redactions, # <u>15</u> Exhibit Exhibits UU–ZZ with redactions, # <u>16</u> Exhibit Exhibits AAA–CCC, # <u>17</u> Exhibit Exhibit DDD, # <u>18</u> Exhibit Exhibit EEE, # <u>19</u> Exhibit Exhibit FFF with redactions, # <u>20</u> Exhibit Exhibit HHH, # <u>21</u> Exhibit Exhibit III, # <u>22</u> Exhibit Exhibits JJJ–MMM, # <u>23</u> Exhibit Exhibit NNN)(Grob, Joseph) (Entered: 09/05/2017)
09/06/2017	<u>108</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from James Kousouros dated September 6, 2017 re: Request for adjournment of sentencing . Document filed by Katerina Arvanitakis. (Kousouros, James) (Entered: 09/06/2017)
09/06/2017	<u>109</u>	MEMO ENDORSEMENT as to Katerina Arvanitakis (2) granting <u>108</u> LETTER MOTION addressed to Judge Katherine Polk Failla from James Kousouros dated September 6, 2017 re: Request for adjournment of sentencing. ENDORSEMENT: Application GRANTED. Defendant Arvanitakis's sentencing is ADJOURNED until November 13, 2017, at 4:00 p.m. SO ORDERED. (Signed by Judge Katherine Polk Failla on 9/6/2017) (lnl) (Entered: 09/07/2017)
09/06/2017		Set/Reset Hearings as to Katerina Arvanitakis: Sentencing set for 11/13/2017 at 04:00 PM before Judge Katherine Polk Failla. (lnl) (Entered: 09/07/2017)
09/10/2017	<u>110</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Joseph A. Grob dated 9/10/17 re: Letter–Motion requesting permission to supplement sentencing submission with newly received documents . Document filed by Brandon Lisi. (Attachments: # <u>1</u> Supplement Proposed Exhibit OOO)(Grob, Joseph) (Entered: 09/10/2017)
09/11/2017	<u>111</u>	MEMO ENDORSEMENT as to Brandon Lisi (1) granting <u>110</u> LETTER MOTION addressed to Judge Katherine Polk Failla from Joseph A. Grob dated 9/10/17 re: Letter–Motion requesting permission to supplement sentencing submission with newly received documents. ENDORSEMENT: Application Granted. So Ordered. (Signed by Judge Katherine Polk Failla on 9/11/2017) (lnl) (Entered: 09/11/2017)

09/12/2017	<u>112</u>	SENTENCING SUBMISSION by USA as to Brandon Lisi. (Attachments: # <u>1</u> Exhibit Exhibit A, # <u>2</u> Exhibit Exhibit B, # <u>3</u> Exhibit Exhibit C, # <u>4</u> Exhibit Exhibit D, # <u>5</u> Exhibit Exhibit E)(Solowiejczyk, Noah) (Entered: 09/12/2017)
09/13/2017	<u>113</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Joseph D. Nohavicka dated September 13, 2017 re: TIME TO SUBMIT SUBMISSIONS . Document filed by Paul Katsaros. (Nohavicka, Joseph) (Entered: 09/13/2017)
09/13/2017	<u>114</u>	MEMO ENDORSMENET granting <u>113</u> LETTER MOTION as to Paul Katsaros (3). ENDORSMENET: Application GRANTED. SO ORDERED. (Signed by Judge Katherine Polk Failla on 9/13/2017) (ft) (Entered: 09/13/2017)
09/13/2017	<u>115</u>	FILING ERROR – WRONG EVENT TYPE SELECTED FROM MENU – MEMORANDUM OF LAW in Support by Paul Katsaros ' <i>Application Pursuant to 18 U.S.C. §3553(a)</i> . (Attachments: # <u>1</u> Exhibit Letter from Friends and Acquaintances of Paul Katsaros)(Nohavicka, Joseph) Modified on 9/14/2017 (ka). (Entered: 09/13/2017)
09/14/2017		NOTICE TO ATTORNEY TO RE-FILE DOCUMENT – EVENT TYPE ERROR as to Paul Katsaros: Notice to Attorney Joseph D. Nohavicka to RE-FILE Document <u>115</u> Memorandum of Law in Support. Use the event type Letter found under the event list Other Documents. (ka) (Entered: 09/14/2017)
09/14/2017	<u>116</u>	LETTER by Paul Katsaros addressed to Judge Katherine Polk Failla from Joseph D. Nohavicka dated September 13, 2017 re: Paul Katsaro's Application Pursuant to 18 U.S.C §3553(a) § (Attachments: # <u>1</u> Exhibit Letters from Friends of Paul Katsaros)(Nohavicka, Joseph) (Entered: 09/14/2017)
09/19/2017	<u>117</u>	SENTENCING SUBMISSION by USA as to Paul Katsaros. (Reilly, Katherine) (Entered: 09/19/2017)
09/19/2017	<u>119</u>	ORDER OF RESTITUTION as to Brandon Lisi, it is hereby ORDERED that: 1. Amount of Restitution. BRANDON LISI, the defendant, shall pay restitution in the total amount of \$1,438,358 to the victims of the offenses charged in Count One of the above-referenced Indictment. The name, address, and specific amount owed to each victim is set forth in the Schedule of Victims attached hereto. Upon advice of a change of address, the Clerk of the Court is authorized to send payments to the new address without further order of this Court. 2. Joint and Several Liability. Defendant's liability for a portion of the above-described restitution shall be joint and several with that of co-defendant KATERINA ARVANITAKIS, as indicated on the Schedule of Victims attached hereto. Defendant's liability for restitution shall continue unabated until either the Defendant has paid the full amount of restitution ordered herein, or every victim has been paid the total amount of his loss from all the restitution paid by the Defendant and co-defendants in this matter. SO ORDERED. (Signed by Judge Katherine Polk Failla on 9/19/2017) (lnl) (Entered: 09/20/2017)
09/19/2017		Minute Entry for proceedings held before Judge Katherine Polk Failla:Sentencing held on 9/19/2017 for Brandon Lisi (1) Count 1. Deft. Brandon Lisi pres. W/atty. Joseph Aaron Grob. A.U.S.A. Katherine Cannella Reilly; Noah David Solowiejczyk; & F.B.I. Agent Richard Smythe. Pres. Ct. Rep. Karen Gorlaski pres. Deft. Sentenced. (See Judgment to follow). Deft. Contd. Remanded. (jw) (Entered: 09/21/2017)
09/19/2017		DISMISSAL OF COUNTS on Government Motion as to Brandon Lisi (1) Count 2,3. (bw) (Entered: 09/21/2017)
09/20/2017	<u>118</u>	ORDER DIRECTING FORFEITURE OF PROPERTY as to Brandon Lisi. As a result of the offense charged in the Indictment, to which the defendant pled guilty, a money judgment in the amount of \$1,438,358.00 (the "Money Judgment") in United States currency shall be entered against the defendant. The Clerk of the Court shall forward three certified copies of this Consent Preliminary Order of Forfeiture/Money Judgment to Assistant United States Attorney Alexander Wilson, United States Attorney's Office, Co-Chief of the Money Laundering and Asset Forfeiture Unit, One St. Andrew's Plaza, New York, New York 10007. (Signed by Judge Katherine Polk Failla on 9/19/2017)(ft) (Entered: 09/20/2017)
09/21/2017	<u>120</u>	JUDGMENT In A Criminal Case. Date of Imposition of Judgment: 9/19/2017. Defendant Brandon Lisi (1) pleaded guilty to Count(s) 1. Count(s) All open counts are dismissed on the motion of the United States. IMPRISONMENT: Thirty-eight (38) months' imprisonment to run consecutive to the sentences imposed in Case Nos. 09

		Cr.1188 (LTS), and 09 Cr. 948(NRB). The court makes the following recommendations to the Bureau of Prisons: It is recommended that the defendant be designated, in an expedited manner, to FPC Canaan. It is recommended that the defendant participate in the Residential Drug Abuse Program. –The defendant is remanded to the custody of the United States Marshal. SUPERVISED RELEASE: Three (3) years to run concurrently with the terms of supervised release imposed in Case Nos. 09 Cr. 948(NRB), and 09 Cr. 1188(LTS). Standard Conditions of Supervision (see page 4 of Judgment). Special Conditions of Supervision (see page 5 of Judgment). ASSESSMENT: \$100.00, due immediately. RESTITUTION: \$1,438,358.00. Special instructions regarding the payment of criminal monetary penalties: Pursuant to the Order of Restitution imposed by this Court Dated: 9/19/2017. Joint and Several: Katerina Arvanitakis. 15CR.0457–002(KPF). \$1,288,358 (that is, Ms. Arvanitakis is jointly responsible for all but \$150,000 of the restitution figure imposed on Mr. Lisi. The defendant shall forfeit the defendant's interest in the following property to the United States: \$1,438,358.00. (Signed by Judge Katherine Polk Failla on 9/21/2017)(bw) (Entered: 09/21/2017)
09/25/2017	<u>121</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Joseph A. Grob dated 9/25/2017 re: Letter–Motion Requesting that the Court make additional recommendations to the BOP and/or to amend the judgment . Document filed by Brandon Lisi. (Grob, Joseph) (Entered: 09/25/2017)
09/26/2017		Minute Entry for proceedings held before Judge Katherine Polk Failla:Sentencing held on 9/26/2017 for Paul Katsaros (3) Count 1. Deft. Paul Katsaros pres. W/attys. Joseph D. Nohavicka & Ariadne Panagopoulos. A.U.S.A. Katherine Cannella Reilly pres. F.B.I. Agent Richard Smythe. Pres. Ct. Rep. Lisa Fellis pres. Deft. Sentenced. (See Judgment to follow). Deft. Contd. Released on bail as previously set. (Inl) (Entered: 09/27/2017)
09/27/2017	<u>122</u>	JUDGMENT IN A CRIMINAL CASE as to Paul Katsaros (3). The defendant pleaded guilty to count One. Any Open Indictment & Counts are dismissed on the motion of the United States. IMPRISONMENT: Three (3) months. The court makes the following recommendations to the Bureau of Prisons: It is recommended that the defendant be designated to a facility as near as possible to Long Island, New York. The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons: before 2 p.m. on 1/8/2018. SUPERVISED RELEASE: Two (2) years. SPECIAL ASSESSMENT: \$100.00 shall be in full immediately. FINE: \$3,000.00 shall be paid within 30 days of release from custody. (Signed by Judge Katherine Polk Failla on 9/27/2017)(Inl) (Entered: 09/27/2017)
09/29/2017	<u>123</u>	TRANSCRIPT REQUEST (<i>FORM B</i>) by Brandon Lisi for a Sentencing hearing held on 9/19/2017 before Judge Katherine Polk Failla. Transcript due by 10/10/2017. (tp) (Entered: 09/29/2017)
09/29/2017	<u>124</u>	NOTICE OF APPEAL by Brandon Lisi from <u>120</u> Judgment. (tp) (Entered: 09/29/2017)
09/29/2017		Appeal Remark as to Brandon Lisi re: <u>124</u> Notice of Appeal – Final Judgment. APPEAL FEE WAIVED. ATTORNEY CJA. (tp) (Entered: 09/29/2017)
09/29/2017		Transmission of Notice of Appeal and Certified Copy of Docket Sheet as to Brandon Lisi to US Court of Appeals re: <u>124</u> Notice of Appeal – Final Judgment. (tp) (Entered: 09/29/2017)
09/29/2017		Appeal Record Sent to USCA (Electronic File). Certified Indexed record on Appeal Electronic Files as to Brandon Lisi re: <u>124</u> Notice of Appeal – Final Judgment were transmitted to the U.S. Court of Appeals. (tp) (Entered: 09/29/2017)
10/05/2017	<u>126</u>	TRANSCRIPT of Proceedings as to Brandon Lisi re: Sentence held on 9/19/17 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Karen Gorlaski, (212) 805–0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 10/26/2017. Redacted Transcript Deadline set for 11/6/2017. Release of Transcript Restriction set for 1/3/2018. (McGuirk, Kelly) (Entered: 10/05/2017)

10/05/2017	<u>127</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi. Notice is hereby given that an official transcript of a Sentence proceeding held on 9/19/17 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 10/05/2017)
10/18/2017	<u>128</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Joseph A. Grob dated October 18, 2017 re: Request to append the mitigation report of Mr. Mark Williams to the PSR . Document filed by Brandon Lisi. (Attachments: # <u>1</u> Exhibit A (Transcript of Sentencing – Redacted))(Grob, Joseph) (Entered: 10/18/2017)
10/18/2017	<u>129</u>	MEMO ENDORSEMENT as to Brandon Lisi (1) denying <u>128</u> LETTER MOTION addressed to Judge Katherine Polk Failla from Joseph A. Grob dated October 18, 2017 re: Request to append the mitigation report of Mr. Mark Williams to the PSR. ENDORSEMENT: Application DENIED. The Court notes that the Probation Office is not authorized to append Mr. Williams's mitigation report to the pre-sentence report or otherwise provide the Bureau of Prisons with the mitigation report. The Court encourages Mr. Grob to contact the Federal Bureau of Prisons case manager to inquire whether the case manager would accept the mitigation report directly from Mr. Grob. SO ORDERED. (Signed by Judge Katherine Polk Failla on 10/18/2017) (Inl) (Entered: 10/18/2017)
10/23/2017	<u>131</u>	LETTER by USA as to Brandon Lisi, Katerina Arvanitakis, Paul Katsaros addressed to Judge Katherine Polk Failla from AUSAs Katherine Reilly and Noah Solowiejczyk dated October 23, 2017 re: Curcio Inquiry Document filed by USA. (Reilly, Katherine) (Entered: 10/23/2017)
10/24/2017	<u>132</u>	MEMO ENDORSEMENT as to Katerina Arvanitakis. ENDORSEMENT: Application GRANTED. The Court contemplates that this hearing will take place in two phases. A proceeding in the first phase is hereby scheduled for November 1, 2017 at 4:30 p.m. in Courtroom 318 of the Thurgood Marshall Courthouse, 40 Foley Square, New York, New York. SO ORDERED. (Status Conference set for 11/1/2017 at 04:30 PM in Courtroom 318, 40 Centre Street, New York, NY 10007 before Judge Katherine Polk Failla.) (Signed by Judge Katherine Polk Failla on 10/24/2017)(ft) (Entered: 10/24/2017)
10/24/2017	<u>133</u>	FILING ERROR – DEFICIENT DOCKET ENTRY (SEE <u>134</u> Letter Motion) – LETTER MOTION addressed to Judge Katherine Polk Failla from James Kousouros dated October 24, 2017 re: Request for adjournment of sentencing . Document filed by Katerina Arvanitakis. (Kousouros, James) Modified on 10/24/2017 (db). (Entered: 10/24/2017)
10/24/2017	<u>134</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from James Kousouros dated October 24, 2017 re: Request for adjournment of sentencing . Document filed by Katerina Arvanitakis. (Kousouros, James) Modified on 10/24/2017 (ka). Modified on 10/24/2017 (db). (Entered: 10/24/2017)
10/24/2017	<u>135</u>	TRANSCRIPT of Proceedings as to Paul Katsaros re: Sentence held on 9/26/17 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Lisa Picciano Fellis, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 11/14/2017. Redacted Transcript Deadline set for 11/24/2017. Release of Transcript Restriction set for 1/22/2018. (McGuirk, Kelly) (Entered: 10/24/2017)
10/24/2017	<u>136</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Paul Katsaros. Notice is hereby given that an official transcript of a Sentence proceeding held on 9/26/17 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 10/24/2017)
10/25/2017	<u>137</u>	ENDORSED LETTER as to Katerina Arvanitakis addressed to Judge Katherine Polk Failla from James Kousouros, dated 10/24/2017, re: Counsel writes with the

		Government's consent to request a thirty day continuance of the sentencing until the first week of December. ENDORSEMENT: Application GRANTED. The sentencing in this case, originally scheduled for November 13, 2017, is hereby ADJOURNED until December 6, 2017, at 4:00 p.m. in Courtroom 618 of the Thurgood Marshall Courthouse, 40 Foley Square, New York, New York. SO ORDERED. (Sentencing set for 12/6/2017 at 04:00 PM in Courtroom 618, 40 Centre Street, New York, NY 10007 before Judge Katherine Polk Failla) (Signed by Judge Katherine Polk Failla on 10/24/2017) (lnl) (Entered: 10/25/2017)
10/31/2017	<u>138</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from James Kousouros dated October 31, 2017 re: Request for Permission to Travel to New Jersey and Pennsylvania for the Baptism of Ms. Arvanitakis' Godchild . Document filed by Katerina Arvanitakis. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C)(Kousouros, James) (Entered: 10/31/2017)
10/31/2017	<u>139</u>	MEMO ENDORSEMENT granting <u>138</u> LETTER MOTION it is respectfully requested that the Court issue an order permitting Ms. Arvanitakis to travel to Pennsylvania and New Jersey from November 4, 2017 until November 5, 2017 to attend the baptism of her godchild. It is further requested that Ms. Arvanitakis be permitted to travel to Pennsylvania to attend Church services with her godchild on November 12, 2017, November 19, 2017, November 26, 2017, and December 3, 2017, in accordance with the Greek Orthodox faith...ENDORSEMENT...Application GRANTED. The Court hereby grants Ms. Arvanitakis permission to travel to Pennsylvania and New Jersey from November 4, 2017 until November 5, 2017 in order to attend the baptism of her godchild, as well as to travel to Pennsylvania to attend Church services with her godchild on November 12, 2017, November 19, 2017, November 26, 2017, and December 3, 2017. (Signed by Judge Katherine Polk Failla on 10/31/17) (jw) (Entered: 11/01/2017)
11/01/2017		Minute Entry for proceedings held before Judge Katherine Polk Failla:Curcio Hearing as to Katerina Arvanitakis held on 11/1/2017, as to Katerina Arvanitakis(Curcio Hearing set for 11/7/2017 at 02:30 PM before Judge Katherine Polk Failla.) Deft. Katerina Arvanitakis pres. W/Atty. James Kousouros. A.U.S.A. Katherine Cannella Reilly pres. Ct. Rep. Sonya Huggins pres. Pre – Curcio Hearing Conference held. Curcio Hearing set for 11/7/17 at 2:30pm. Deft. Contd. Released on bail as previously set (jw) (Entered: 11/27/2017)
11/07/2017		Minute Entry for proceedings held before Judge Katherine Polk Failla:Curcio Hearing as to Katerina Arvanitakis held on 11/7/2017 (Sentencing set for 1/8/2018 at 03:00 PM before Judge Katherine Polk Failla.) Deft. Katerina Arvanitakis pres. W/Attys. James Kousouros, & Michael Schachter. A.U.S.A. Noah David Solowiejczyk pres. Ct. Rep. Vincent Bologna present. Sentencing previously set for 12/6/17 is re-set to 1/8/18 at 3:00pm. Deft. Contd. Released on bail as previously set. (jw) (Entered: 11/13/2017)
11/13/2017	<u>140</u>	ORDER as to Brandon Lisi. With respect to Mr. Lisi's first and second requests, the Court offers no recommendations. Instead, it understands that, pursuant to 18 U.S.C. § 3624, the BOP will consider carefully Mr. Lisi's conduct while incarcerated, along with the factors listed in § 3621(b), in determining whether and when to transition Mr. Lisi to pre-release custody. (Signed by Judge Katherine Polk Failla on 11/13/2017)(ft) (Entered: 11/14/2017)
11/20/2017	<u>141</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from AUSA Katherine Reilly dated November 20, 2017 re: Adjournment of Sentencing . Document filed by USA as to Katerina Arvanitakis. (Reilly, Katherine) (Entered: 11/20/2017)
11/20/2017	<u>142</u>	TRANSCRIPT of Proceedings as to Brandon Lisi re: Initial Appearance held on 7/30/2015 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Shari Riemer, (518) 581-8973, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 12/11/2017. Redacted Transcript Deadline set for 12/21/2017. Release of Transcript Restriction set for 2/20/2018. (ft) (Entered: 11/20/2017)
11/20/2017	<u>143</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi. Notice is hereby given that an official transcript of an Initial Appearance proceeding held on 7/30/2015 has been filed by the court reporter/transcriber in the above-captioned

		matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days. (ft) (ft). (Entered: 11/20/2017)
11/20/2017	<u>144</u>	ENDORSED LETTER as to (S1-15-Cr-457-02) Katerina Arvanitakis addressed to Judge Katherine Polk Failla from AUSAs Katherine Reilly/Noah Solowiejczyk, dated November 20, 2017 re: the Government writes, with the consent of defense counsel, to request an adjournment of the sentencing in this matter for approximately two weeks. ENDORSEMENT: Application GRANTED. Sentencing in this matter, previously scheduled for January 8, 2018, at 3:00 p.m., is hereby ADJOURNED until January 23, 2018, at 4:00 p.m. SO ORDERED. (Signed by Judge Katherine Polk Failla on 11/20/2017)(bw) (Entered: 11/20/2017)
12/05/2017	<u>145</u>	TRANSCRIPT of Proceedings as to Katerina Arvanitakis re: Conference held on 11/7/17 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Vincent Bologna, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 12/26/2017. Redacted Transcript Deadline set for 1/5/2018. Release of Transcript Restriction set for 3/5/2018. (McGuirk, Kelly) (Entered: 12/05/2017)
12/05/2017	<u>146</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Katerina Arvanitakis. Notice is hereby given that an official transcript of a Conference proceeding held on 11/7/17 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 12/05/2017)
12/12/2017	<u>147</u>	TRANSCRIPT of Proceedings as to Brandon Lisi, Katerina Arvanitakis re: Conference held on 3/11/16 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Ellen Simone, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 1/2/2018. Redacted Transcript Deadline set for 1/12/2018. Release of Transcript Restriction set for 3/12/2018. (McGuirk, Kelly) (Entered: 12/12/2017)
12/12/2017	<u>148</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi, Katerina Arvanitakis. Notice is hereby given that an official transcript of a Conference proceeding held on 3/11/16 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 12/12/2017)
12/12/2017	<u>149</u>	TRANSCRIPT of Proceedings as to Brandon Lisi, Katerina Arvanitakis re: Conference held on 4/14/16 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Alena Lynch, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 1/2/2018. Redacted Transcript Deadline set for 1/12/2018. Release of Transcript Restriction set for 3/12/2018. (McGuirk, Kelly) (Entered: 12/12/2017)
12/12/2017	<u>150</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi, Katerina Arvanitakis. Notice is hereby given that an official transcript of a Conference proceeding held on 4/14/16 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 12/12/2017)
01/03/2018	<u>151</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from Joseph D. Nohavicka dated January 3, 2018 re: Application for Stay of Surrender Date to the Bureau of Prisons Due to Medical Emergency . Document filed by Paul Katsaros.

		(Attachments: # <u>1</u> Exhibit Letter from Mykola Alyskewycz, M.D.)(Nohavicka, Joseph) (Entered: 01/03/2018)
01/04/2018	<u>152</u>	AMENDED LETTER MOTION addressed to Judge Katherine Polk Failla from Joseph D. Nohavicka dated January 4, 2018 re: Application for Stay of Surrender Date to the Bureau of Prisons Due to Medical Emergency . Document filed by Paul Katsaros. (Nohavicka, Joseph) (Entered: 01/04/2018)
01/05/2018	<u>153</u>	MEMO ENDORSEMENT as to Paul Katsaros (3) granting <u>152</u> AMENDED LETTER MOTION addressed to Judge Katherine Polk Failla from Joseph D. Nohavicka dated January 4, 2018 re: Application for Stay of Surrender Date to the Bureau of Prisons Due to Medical Emergency. ENDORSEMENT: Application GRANTED. Paul Katsaros's surrender date, previously scheduled for January 8, 2018, is hereby ADJOURNED until January 22, 2018. Mr. Katsaros shall surrender for service of sentence before 2:00 p.m. on January 22, 2018 at the institution designated by the Bureau of Prisons. SO ORDERED. (Signed by Judge Katherine Polk Failla on 1/5/2018) (lnl) (Entered: 01/05/2018)
01/08/2018	<u>154</u>	ENDORSED LETTER as to Brandon Lisi addressed to Judge Katherine Polk Failla from Mary Anne Wirth dated 1/8/2018 re: Counsel writes to request that the Court order that i be given permission to receive from Southern District Reporters full copies of the transcripts dated September 14, 2016 and December 15, 2016, including the sealed portions. ENDORSEMENT: Application GRANTED. SO ORDERED. (Signed by Judge Katherine Polk Failla on 1/8/2018) (lnl) (Entered: 01/09/2018)
01/10/2018	<u>155</u>	ENDORSED LETTER as to Katerina Arvanitakis addressed to Judge Katherine Polk Failla from James Kousouros, dated 1/10/2018, re: Defense counsel writes to request that Ms. Arvanitakis' sentencing in the above-captioned matter be adjourned until February 23, 2018 for the reason set forth. ENDORSEMENT: Application GRANTED. Ms. Arvanitakis' sentencing is hereby ADJOURNED until February 23, 2018, at 2:30 p.m., in Courtroom 618 of the Thurgood Marshall Courthouse, 40 Foley Square, New York, New York. (Sentencing set for 2/23/2018 at 02:30 PM in Courtroom 618, 40 Centre Street, New York, NY 10007 before Judge Katherine Polk Failla) (Signed by Judge Katherine Polk Failla on 1/10/2018) (lnl) (Entered: 01/11/2018)
02/16/2018	<u>156</u>	SENTENCING SUBMISSION by Katerina Arvanitakis. (Attachments: # <u>1</u> Exhibit A, # <u>2</u> Exhibit B, # <u>3</u> Exhibit C, # <u>4</u> Exhibit D, # <u>5</u> Exhibit E, # <u>6</u> Exhibit F, # <u>7</u> Exhibit G, # <u>8</u> Exhibit H, # <u>9</u> Exhibit I, # <u>10</u> Exhibit J, # <u>11</u> Exhibit K, # <u>12</u> Exhibit L, # <u>13</u> Exhibit M, # <u>14</u> Exhibit N, # <u>15</u> Exhibit O, # <u>16</u> Exhibit P, # <u>17</u> Exhibit Q, # <u>18</u> Exhibit R, # <u>19</u> Exhibit S, # <u>20</u> Exhibit U)(Kousouros, James) (Entered: 02/16/2018)
02/23/2018	<u>157</u>	SENTENCING SUBMISSION by USA as to Katerina Arvanitakis. (Reilly, Katherine) (Entered: 02/23/2018)
02/26/2018	<u>158</u>	ORDER as to Katerina Arvanitakis: At the parties' request, the sentencing of Katerina Arvanitakis, previously scheduled for February 23, 2018, has been ADJOURNED to March 8, 2018, at 4:00 p.m., in Courtroom 618 of the Thurgood Marshall Courthouse, 40 Foley Square, New York, New York. SO ORDERED. (Sentencing set for 3/8/2018 at 04:00 PM in Courtroom 618, 40 Centre Street, New York, NY 10007 before Judge Katherine Polk Failla) (Signed by Judge Katherine Polk Failla on 2/26/2018) (lnl) (Entered: 02/26/2018)
03/07/2018	<u>159</u>	ORDER as to Brandon Lisi, Katerina Arvanitakis, Paul Katsaros. The Court is in receipt of a letter request by Mary Anne Wirth --- Brandon Lisi's appellate counsel --- to appoint counsel from the Criminal Justice Act panel to represent Mr. Lisi in advance of the sentencing of Mr. Lisi's co-defendant, Katerina Arvanitakis, scheduled for March 8, 2018. In the letter, attached hereto as an Exhibit, Ms. Wirth states that, "[i]n her sentencing submission, Ms. Arvanitakis[] makes extensive accusations of criminal conduct not alleged in the indictment, specifically arguing in mitigation that Mr. Lisi physically and verbally abused her and including witness letters and a hospital record purporting to support [those accusations]." Ms. Wirth further notes that, because "[i]t is entirely possible that [Mr. Lisi] may appear again before [this Court] in connection with... a remand proceeding," he has an interest in defending himself against these accusations. Ms. Wirth's request is hereby DENIED without prejudice to its refiling in the event of a remand proceeding. The Court notes that Ms. Arvanitakis's claims of abuse by Mr. Lisi are not new and have previously been articulated before

		this Court — if in somewhat less detail — at Mr. Lisi's sentencing. Accordingly, the Court views with some skepticism Ms. Wirth's suggestion that the accusations against Mr. Lisi in Ms. Arvanitakis's sentencing submissions might prejudice Mr. Lisi at a remand proceeding. Nonetheless, the Court will allow Mr. Lisi to respond to these claims more fully, should any remand be ordered in this case. SO ORDERED. (Signed by Judge Katherine Polk Failla on 3/7/2018)(bw) (Entered: 03/08/2018)
03/08/2018	<u>160</u>	ORDER OF RESTITUTION as to Katerina Arvanitakis. (Signed by Judge Katherine Polk Failla on 3/8/2018) (lnl) (Entered: 03/09/2018)
03/08/2018		Minute Entry for proceedings held before Judge Katherine Polk Failla: Sentencing held on 3/8/2018 for Katerina Arvanitakis (2) Count 2s. Deft. Katerina Arvanitakis pres. W/Atty. James Kousouros. A.U.S.A. Katherine Reilly & Noah Solowiejczyk pres. F.B.I. Richard Smythe pres. Ct. Rep. Rebecca Forman pres. Sentencing Held. (See Judgment, Doc. #161). Deft. Contd. Released on bail as previously set. (jw) (Entered: 03/09/2018)
03/09/2018		DISMISSAL OF COUNTS on Court or Defendant Motion as to Katerina Arvanitakis (2) Count 1s,1,2,3s,3,4s,5s. (jw) (Entered: 03/09/2018)
03/09/2018	<u>161</u>	JUDGMENT IN A CRIMINAL CASE as to Katerina Arvanitakis (2), Count(s) 1, 1s, 2, 3, 3s, 4s, 5s, All open counts are dismissed on the motion of the US; Pleaded guilty to Count(s) 2s, Imprisonment for a total term of Twenty-Four (24) Months. Supervised release for a term of Three Years. The court makes the following recommendations to the Bureau of Prisons: It is recommended that the defendant be designated to a facility in or close to the New York City metropolitan area. The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons before 2pm on 1/7/19. Special Assessment of \$100 which is to be paid in full immediately. Restitution of \$1,285,393.00. Restitution payments to commence within 30 days after release from custody, 10% of gross monthly income for first year, 15% of gross monthly income thereafter. (Signed by Judge Katherine Polk Failla on 3/9/18)(jw) (Entered: 03/09/2018)
03/09/2018	<u>162</u>	CONSENT PRELIMINARY ORDER OF FORFEITURE AS TO SPECIFIC PROPERTY/MONEY JUDGMENT as to Katerina Arvanitakis. (Signed by Judge Katherine Polk Failla on 3/8/2018) (See ORDER as set forth) (Three (3) certified copies of this ORDER have been forwarded to AUSA Alexander J. Wilson via interoffice mail) (lnl) (Entered: 03/09/2018)
03/19/2018	164	SEALED DOCUMENT placed in vault. (mps) (Entered: 03/19/2018)
03/20/2018	<u>165</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from AUSA Katherine Reilly dated March 20, 2018 re: Proposed Amended Forfeiture Order . Document filed by USA as to Katerina Arvanitakis. (Attachments: # <u>1</u> Text of Proposed Order)(Reilly, Katherine) (Entered: 03/20/2018)
03/20/2018	<u>166</u>	AMENDED CONSENT PRELIMINARY ORDER OF FORFEITURE AS TO SPECIFIC PROPERTY / MONEY JUDGMENT: as to (S1-15-Cr-457-02) Katerina Arvanitakis....[See this Amended Consent Preliminary Order Of Forfeiture As To Specific Property/Money Judgment]... IT IS HEREBY STIPULATED AND AGREED, by and between the United States of America, by its attorney Geoffrey S. Berman, United States Attorney, Assistant United States Attorneys, Katherine Reilly, Noah Solowiejczyk, and Benet Kearney of counsel, and the defendant, and her counsel, James Kousouros, Esq., that: 1. As a result of the offense charged in Count Two of the Indictment, to which the defendant pled guilty, a money judgment in the amount of \$1,285,393 in United States currency (the "Money Judgment"), representing the amount of proceeds traceable to the offense charged in Count Two of the Indictment that the defendant personally obtained, shall be entered against the defendant. 2. As a result of the offense charged in Count Two of the Indictment, to which the defendant pled guilty, all of the defendant's right, title and interest in the Specific Property is hereby forfeited to the United States for disposition in accordance with the law, subject to the provisions of Title 21, United States Code, Section 853. 3. Pursuant to Rule 32.2(b)(4) of the Federal Rules of Criminal Procedure, this Consent Preliminary Order of Forfeiture as to Specific Property/Money Judgment is final as to the defendant, KATERINA ARVANITAKIS, and shall be deemed part of the sentence of the defendant, and shall be included in the judgment of conviction therewith....[See this Amended Consent Preliminary Order Of Forfeiture As To Specific

		Property/Money Judgment]... 13. The Court shall retain jurisdiction to enforce this Consent Preliminary Order of Forfeiture as to Specific Property/Money Judgment, and to amend it as necessary, pursuant to Rule 32.2 of the Federal Rules of Criminal Procedure. 14. The Clerk of the Court shall forward three certified copies of this Consent Preliminary Order of Forfeiture/Money Judgment to Assistant United States Attorney Alexander J. Wilson, Chief of the Money Laundering and Asset Forfeiture Unit, United States Attorney's Office, One St. Andrew 's Plaza, New York, New York 10007. 15. The signature page of this Consent Preliminary Order of Forfeiture as to Specific Property/Money Judgment may be executed in one or more counterparts, each of which will be deemed an original but all of which together will constitute one and the same instrument. SO ORDERED: (Signed by Judge Katherine Polk Failla on 3/20/2018) [*** NOTE: The Clerk of the Court has forwarded three certified copies of this Consent Preliminary Order of Forfeiture/Money Judgment to AUSA Alexander J. Wilson, by inter-office mail on 3/22/2018. ***](bw) (Entered: 03/22/2018)
03/30/2018	<u>167</u>	MEMO ENDORSEMENT as to Paul Katsaros re: Letter from Paul Katsaros...ENDORSEMENT.. The Court lacks the ability to grant the requested relief. The application is therefore DENIED. The Clerk is directed to terminate Docket Entry 151. (Signed by Judge Katherine Polk Failla on 3/30/18)(jw) (Entered: 03/30/2018)
04/05/2018	<u>168</u>	TRANSCRIPT of Proceedings as to Katerina Arvanitakis re: Sentence held on 3/8/18 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Rebecca Forman, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 4/26/2018. Redacted Transcript Deadline set for 5/7/2018. Release of Transcript Restriction set for 7/5/2018. (McGuirk, Kelly) (Entered: 04/05/2018)
04/05/2018	<u>169</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Katerina Arvanitakis. Notice is hereby given that an official transcript of a Sentence proceeding held on 3/8/18 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 04/05/2018)
04/12/2018	<u>170</u>	TRANSCRIPT of Proceedings as to Brandon Lisi re: Conference held on 6/2/17 CONFERENCE CORRECTED before Judge Katherine Polk Failla. Court Reporter/Transcriber: Pamela Utter, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 5/3/2018. Redacted Transcript Deadline set for 5/14/2018. Release of Transcript Restriction set for 7/11/2018. (McGuirk, Kelly) (Entered: 04/12/2018)
04/12/2018	<u>171</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Brandon Lisi. Notice is hereby given that an official transcript of a Conference proceeding held on 6/2/17 CONFERENCE CORRECTED has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 04/12/2018)
05/01/2018	<u>172</u>	TRANSCRIPT of Proceedings as to Katerina Arvanitakis re: Hearing held on 11/1/17 before Judge Katherine Polk Failla. Court Reporter/Transcriber: Sonya Ketter Moore, (212) 805-0300, Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER. Redaction Request due 5/22/2018. Redacted Transcript Deadline set for 6/1/2018. Release of Transcript Restriction set for 7/30/2018. (McGuirk, Kelly) (Entered: 05/01/2018)
05/01/2018	<u>173</u>	NOTICE OF FILING OF OFFICIAL TRANSCRIPT as to Katerina Arvanitakis. Notice is hereby given that an official transcript of a Hearing proceeding held on 11/1/17 has been filed by the court reporter/transcriber in the above-captioned matter. The parties have seven (7) calendar days to file with the court a Notice of Intent to Request Redaction of this transcript. If no such Notice is filed, the transcript may be

		made remotely electronically available to the public without redaction after 90 calendar days.... (McGuirk, Kelly) (Entered: 05/01/2018)
05/09/2018	<u>174</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from AUSA Katherine Reilly dated May 9, 2018 re: Extension of Time to File Forfeiture Petitions . Document filed by USA as to Katerina Arvanitakis. (Attachments: # <u>1</u> Text of Proposed Order)(Reilly, Katherine) (Entered: 05/09/2018)
05/10/2018	<u>175</u>	ORDER as to Katerina Arvanitakis. Time excluded from 5/10/18 until 5/31/18. NOW, THEREFORE, IT IS HEREBY STIPULATED AND AGREED, by and between the United States of America, by its attorney Geoffrey S. Berman, United States Attorney, Assistant United States Attorney Katherine Reilly, of counsel, Steven C. Haffner, Esq. and the Bibbo Petitioners and their counsel, Michael Manoussos, Esq., that: The Petitioners shall have up to and including May 31, 2018 to file with the Court a petition asserting an interest in the Specific Property. (Signed by Judge Katherine Polk Failla on 5/10/18)(jw) (Entered: 05/10/2018)
05/24/2018	<u>176</u>	FIRST PETITION IN RESPONSE TO FORFEITURE ORDER by Nadine Bibbo, Paul Bibbo as to Katerina Arvanitakis . (Manoussos, Michael) (Entered: 05/24/2018)
05/30/2018	<u>177</u>	PETITION IN RESPONSE TO FORFEITURE ORDER by Gordon & Haffner, LLP as to Katerina Arvanitakis re: <u>166</u> Order,,,,,,,,,,,, . (Gordon, David) (Entered: 05/30/2018)
06/13/2018	<u>178</u>	LETTER MOTION addressed to Judge Katherine Polk Failla from AUSA Katherine Reilly dated June 13, 2018 re: Briefing Schedule . Document filed by USA as to Katerina Arvanitakis. (Reilly, Katherine) (Entered: 06/13/2018)
06/13/2018	<u>179</u>	ENDORSED LETTER as to Katerina Arvanitakis addressed to Judge Katherine Polk Failla from Katherine Reilly and Noah Solowiejczyk dated 6/13/18 re: The Government intends to move to dismiss the petition filed by Gordon & Haffner, LLP and respectfully requests that it be permitted to file its motion papers by July 13, 2018, with Gordon & Haffner, LLP to file its opposition brief by August 17, 2018, and the Governments reply to be filed by August 31, 2018....ENDORSEMENT: Application GRANTED. (Signed by Judge Katherine Polk Failla on 6/13/18)(jw) (Entered: 06/14/2018)
06/19/2018	<u>180</u>	SATISFACTION OF JUDGMENT as to Paul Katsaros re <u>122</u> Judgment in the amount of \$3,100.00. Judgment satisfied on 6/19/2018. (jw) (Entered: 06/21/2018)
07/13/2018	<u>181</u>	FILING ERROR – DEFICIENT DOCKET ENTRY – MOTION to Dismiss <i>Petition Filed by Gordon & Haffner</i> . Document filed by USA as to Brandon Lisi, Katerina Arvanitakis, Paul Katsaros. (Attachments: # <u>1</u> Memorandum of Law)(Reilly, Katherine) Modified on 7/13/2018 (ka). (Entered: 07/13/2018)
07/13/2018		***NOTICE TO ATTORNEY TO RE-FILE DOCUMENT – DEFICIENT DOCKET ENTRY ERROR as to Brandon Lisi, Katerina Arvanitakis, Paul Katsaros: Notice to Attorney Reilly, Katherine to RE-FILE Document <u>181</u> MOTION to Dismiss <i>Petition Filed by Gordon & Haffner</i>.. ERROR(S): Filing Error of Attachment#1. Supporting Memorandum must be filed individually. Event code located under Replies, Opposition and Supporting documents***NOTE: Separate docket entry for each. (ka) (Entered: 07/13/2018)
07/13/2018	<u>182</u>	FILING ERROR – WRONG EVENT TYPE SELECTED FROM MENU – MOTION to Dismiss <i>Petition of Gordon & Haffner</i> . Document filed by USA as to Brandon Lisi, Katerina Arvanitakis, Paul Katsaros. (Reilly, Katherine) Modified on 7/13/2018 (ka). (Entered: 07/13/2018)
07/13/2018		NOTICE TO ATTORNEY TO RE-FILE DOCUMENT – EVENT TYPE ERROR as to Brandon Lisi, Katerina Arvanitakis, Paul Katsaros: Notice to Attorney Reilly, Katherine to RE-FILE Document <u>182</u> MOTION to Dismiss <i>Petition of Gordon & Haffner</i>. Use the event type Memorandum in Support of Motion found under the event list Replies,Opposition and Supporting Documents.***NOTE: First, re-file motion, then re-file and link supporting memorandum. SEPARATE DOCKET ENTRIES FOR EACH. (ka) (Entered: 07/13/2018)
07/13/2018	<u>183</u>	MOTION to Dismiss <i>Petition of Gordon & Haffner</i> . Document filed by USA as to Brandon Lisi, Katerina Arvanitakis, Paul Katsaros. (Reilly, Katherine) (Entered: 07/13/2018)

		07/13/2018)
07/13/2018	<u>184</u>	MEMORANDUM in Support by USA as to Brandon Lisi, Katerina Arvanitakis, Paul Katsaros re <u>183</u> MOTION to Dismiss <i>Petition of Gordon & Haffner.</i> (Reilly, Katherine) (Entered: 07/13/2018)
08/17/2018	<u>185</u>	MEMORANDUM in Opposition by Gordon & Haffner, LLP as to Katerina Arvanitakis re <u>183</u> MOTION to Dismiss <i>Petition of Gordon & Haffner.</i> (Attachments: # <u>1</u> Exhibit Proposed Amended Petition)(Gordon, David) (Entered: 08/17/2018)
08/31/2018	<u>186</u>	REPLY MEMORANDUM OF LAW in Support by USA as to Katerina Arvanitakis re: <u>183</u> MOTION to Dismiss <i>Petition of Gordon & Haffner.</i> . (Solowiejczyk, Noah) (Entered: 08/31/2018)
09/10/2018	<u>187</u>	ENDORSED LETTER as to Brandon Lisi, Katerina Arvanitakis, Paul Katsaros addressed to Judge Katherine Polk Failla, from David Gordon dated 9/7/2018 re: Petitioner/Claimant writes to request permission to submit a sur-reply memorandum. ENDORSEMENT: Application GRANTED. Gordon & Haffner LLP is directed to file its supplemental brief, not to exceed 10 pages, on or before September 17, 2018. (Surreplies due by 9/17/2018) (Signed by Judge Katherine Polk Failla on 9/10/2018) (ap) (Entered: 09/10/2018)
09/17/2018	<u>188</u>	SUPPLEMENTAL REPLY by Gordon & Haffner, LLP as to Katerina Arvanitakis re: <u>186</u> Reply Memorandum of Law in Support of Motion filed by USA <i>Sur-Reply Memorandum.</i> (Gordon, David) (Entered: 09/17/2018)
11/05/2018	<u>189</u>	OPINION AND ORDER as to Katerina Arvanitakis. For the reasons set forth above, the Court grants the Governments motion to dismiss the Petition. The Clerk of Court is directed to terminate the motions at docket entries 165, 174, 178, 182, and 183. Additionally, because other motions have previously been resolved in this Courts prior orders, the Clerk of Court is directed to terminate the motions at docket entries 27, 34, and 121. SO ORDERED. (Signed by Judge Katherine Polk Failla on 11/5/2018)(ft) (Entered: 11/05/2018)
11/14/2018	<u>190</u>	SERVICE BY PUBLICATION as to Katerina Arvanitakis. A Declaration of Publication Document filed by USA as to Katerina Arvanitakis. (Reilly, Katherine) (Entered: 11/14/2018)
11/19/2018	<u>191</u>	FILING ERROR – ELECTRONIC FILING OF NON-ECF DOCUMENT – NOTICE of Appeal as to Katerina Arvanitakis re: <u>189</u> Memorandum & Opinion,, (Fein, Shari) Modified on 12/18/2018 (ka). (Entered: 11/19/2018)
12/18/2018		***NOTE TO ATTORNEY TO RE-FILE DOCUMENT – NON-ECF DOCUMENT ERROR. Note to Attorney Fein, Shari as to Katerina Arvanitakis: to MANUALLY RE-FILE Document Document No. <u>191</u> Notice of Appeal. This document is not filed via ECF. (ka) (Entered: 12/18/2018)
01/11/2019	<u>192</u>	NOTICE OF APPEAL by Gordon & Haffner, LLP as to Katerina Arvanitakis from <u>189</u> Memorandum & Opinion. Filing fee \$ 505.00, receipt number 465401226574. (tp) (Entered: 01/11/2019)
01/11/2019		Transmission of Notice of Appeal and Certified Copy of Docket Sheet as to Katerina Arvanitakis to US Court of Appeals re: <u>192</u> Notice of Appeal – Final Judgment. (tp) (Entered: 01/11/2019)
01/11/2019		Appeal Record Sent to USCA (Electronic File). Certified Indexed record on Appeal Electronic Files as to Katerina Arvanitakis re: <u>192</u> Notice of Appeal – Final Judgment were transmitted to the U.S. Court of Appeals. (tp) (Entered: 01/11/2019)
02/20/2019	<u>193</u>	SEALED DOCUMENT placed in vault. (mhe) (Entered: 02/20/2019)
05/15/2019	<u>194</u>	PARTIAL ASSIGNMENT OF JUDGMENT Judgment No.N/A dated March 9, 2018 in the sum of \$826,358.00 is assigned from Assignor Nadine Lugo and Paul Bibbo to Assignee The Lawyer's' Fund For Client Protection of the State of New York in the amount of \$376,358.00 as against Katerina Arvanitakis. (dt) (Entered: 05/15/2019)
05/24/2019	<u>195</u>	LETTER by Brandon Lisi addressed to Judge Katherine Polk Failla, from Brandon Lisi dated 5/17/2019 re: Defendant writes to inform the Court of some issues. (ap) (Entered: 05/24/2019)

06/10/2019	<u>196</u>	<p>MEMO ENDORSEMENT as to Brandon Lisi on <u>195</u> LETTER by Brandon Lisi addressed to Judge Katherine Polk Failla, from Brandon Lisi dated 5/17/2019 re: Defendant writes to inform the Court of some issues. ENDORSEMENT: Application GRANTED in part. The Court has reviewed Defendant Brandon Lisi's request and has endeavored to gain further insight into how it can assist with a number of Mr. Lisi's requests. With regards to his first request, the Court will provide a copy of the docket and has attached a copy to this Order. With regards to Mr. Lisi's boxes of legal material, the Court has spoken with the legal staff at FCI Fort Dix and has been informed that Mr. Lisi is due to be transferred in the near future. At that time, he will be permitted to bring at least two boxes of legal materials with him to his new facility. The staff has informed the Court that they cannot guarantee more than two boxes, as other individuals may require transportation of their own materials. The Court has been further informed that Defendant will be required to pay for postage for his remaining materials. The Court understands Defendant is pro se and may face difficulties in paying. After consulting with the CJA staff of this District, the Court understands that CJA funds cannot be advanced to a pro se Defendant. The Court therefore advises Mr. Lisi to request the appointment of stand-by counsel from the Second Circuit, as stand-by counsel could assist Defendant with such ministerial matters as requesting funds without providing legal advice. The Court has reviewed the orders submitted under seal in this case and does not find the documents submitted pertain to Mr. Lisi's appeal. The Court further informs Mr. Lisi that the Gordon & Haffner funds remain the subject of an ongoing appeal. The Court notes Mr. Lisi's interest in Ms. Arvanitakis's Curcio hearing and his request to remain informed of future proceedings in this case. Ms. Arvanitakis' Curcio hearing concluded on November 1, 2017. The Court does not have any further proceedings in this case scheduled at this time. SO ORDERED. (Signed by Judge Katherine Polk Failla on 6/10/2019) (A copy of this Order was mailed by Chambers to: Brandon Lisi, Inmate Reg. No. 62739-054, Federal Correctional Institution, Fort Dix, P.O. Box 2000, Joint Base MDL, NJ 08640) (Inl) (Entered: 06/11/2019)</p>
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